KEY INFORMATION MEMORANDUM

MIRAE ASSET ARBITRAGE FUND

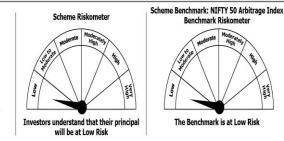
(An open ended scheme investing in arbitrage opportunities)

PRODUCT LABELLING

Mirae Asset Arbitrage Fund is suitable for investors who are seeking*

- Income over short term
- Investments predominantly in arbitrage opportunities in the cash and derivative segments and the arbitrage opportunities available within the derivative segment

*Investors should consult their financial advisors if they are not clear about the suitability of the product.



Continuous Offer for units at NAV based prices

Name of Mutual Fund: Mirae Asset Mutual Fund Name of Asset Management Company: Mirae Asset Investment Managers (India) Private Limited CIN: U65990MH2019PTC324625

> Name of Trustee Company: Mirae Asset Trustee Company Private Limited CIN: U65191MH2007FTC170231

Registered & Corporate Office:

Unit No.606, Windsor Building, Off. C.S.T Road, Kalina, Santacruz (East), Mumbai – 400098 **Tel. No.:** 022-678 00 300 **Fax No.:** 022- 6725 3940 - 47 **Website:** <u>www.miraeassetmf.co.in</u> **E-mail**: <u>miraeasset@miraeassetmf.co.in</u>

This Key Information Memorandum (KIM) sets forth the information, which a prospective investor ought to know before investing. For further details of the scheme/Mutual Fund, due diligence certificate by the AMC, Key Personnel, investors' rights & services, risk factors, penalties & pending litigations etc. investors should, before investment, refer to the Scheme Information Document and Statement of Additional Information available free of cost at any of the Investor Service Centres or distributors or from the website www.miraeassetmf.co.in

The Scheme particulars have been prepared in accordance with Securities and Exchange Board of India (Mutual Funds) Regulations 1996, as amended till date, and filed with Securities and Exchange Board of India (SEBI). The units being offered for public subscription have not been approved or disapproved by SEBI, nor has SEBI certified the accuracy or adequacy of this KIM.

This Key Information Memorandum is dated June 29, 2024

income by predominantly investing in arbitrage derivative segments of the equity markets and the a within the derivative segment and by investing the market instruments.	opportunities rbitrage opporte ne balance in	in the cash and rtunities available debt and money	
Turnes of Instruments			
Types of Instruments		Maximum	
Derivatives including Index Futures, Stock	65% 65%	90% 90%	
Debt securities and Money Market Instruments (including the margin money deployed in	10%	35%	
	0%	10%	
Indicative allocationTypes of Instruments(% of total assets)			
	Minimum	Maximum	
Equities and Equity Linked instruments*	0%	35%	
Derivatives including Index Futures, Stock Futures, Index Options and Stock Options	0%	35%	
Debt securities and Money Market Instruments (including the margin money deployed in derivative transactions)	65%	100%	
Units issued by REITs & InvITs	0%	10%	
 negligible, in view of the fund manager or returninvestment opportunities as per allocation pattern. Considerations will be made keeping in view the intervence of the scheme in derivatives can be made upto scheme. The Scheme may invest in securitised debt upto 	The allocation erest of the un 90% of the	than alternative under defensive hitholders. net assets of the portion.	
	income by predominantly investing in arbitrage derivative segments of the equity markets and the a within the derivative segment and by investing the market instruments. There is no assurance or guarantee that the investme be realized. Under normal circumstances, the asset allocation with Types of Instruments Equities and Equity Linked instruments* Derivatives including Index Futures, Stock Futures, Index Options and Stock Options Debt securities and Money Market Instruments (including the margin money deployed in derivative transactions) Units issued by REITs & InvITs Under defensive circumstances, the asset allocation Types of Instruments Equities and Equity Linked instruments* Derivatives including Index Futures, Stock Futures, Index Options and Stock Options Debt securities and Money Market Instruments (including the margin money deployed in derivative transactions) Units issued by REITs & InvITs Under defensive circumstances, the asset allocation Types of Instruments Equities and Equity Linked instruments* Derivatives including Index Futures, Stock Futures, Index Options and Stock Options Debt securities and Money Market Instruments (including the margin money deployed in derivative transactions) Units issued by REITs & InvITs Defensive circumstances are when the arbitrage of negligible, in view of the fund manager or returned investment opportunities as per allocation pattern, considerations will be made keeping in view the into investment in derivatives can be made upto scheme. • The Scheme may invest in securitised debt uptor	There is no assurance or guarantee that the investment objective of be realized. Under normal circumstances, the asset allocation will be as follow Image: Types of Instruments Indicative a (% of total) Minimum Equities and Equity Linked instruments* 65% Derivatives including Index Futures, Stock 65% Futures, Index Options and Stock Options 65% Deto securities and Money Market Instruments (including the margin money deployed in derivative transactions) 10% Under defensive circumstances, the asset allocation will be as follow Indicative a (% of total) Minimum Equities and Equity Linked instruments* 0% Under defensive circumstances, the asset allocation will be as follow Indicative a (% of total) Minimum Equities and Equity Linked instruments* 0% Derivatives including Index Futures, Stock 10% Putures, Index Options and Stock Options 0% Debt securities and Money Market Instruments 65% (including the margin money deployed in derivative transactions) 0% Units issued by REITs & InvITs 0% Defensive circumstances are when the arbitrage opportunities in egligible, in view of the fund manager or returns are lower investment opportunities as per allocation pattern. The allocatior considerat	

-	ermitted by SEBI Regula rities in accordance with		y engage in short selling of by SEBI.			
instrume Units iss	The cumulative gross exposure of the Scheme through Equities and Equity Linked instruments, Derivatives, Debt securities and Money Market Instruments and Units issued by REITs & InvITs shall not exceed 100% of the net assets of the Scheme.					
	•		nds in accordance with the as amended from time to			
instrume would b equal bu	ents and derivatives. The completely hedged of t opposite exposure, the	e Equity allocation so ut, using derivative in reby making the Net ex	•			
SEBI cir		ment/percentages may	vary subject to applicable			
Sl. no	Type of Instrument	Percentage of exposure	Circular references*			
1.	Securities Lending	<20% of the net assets can generally be deployed in Stock Lending	Clause 12.11 of SEBI Master Circular dated May 19, 2023			
		<5% of the net assets can generally be deployed in Stock Lending to any single approved intermediary				
2.	Equity Derivatives	90%	Clause 12.25 of SEBI Master Circular dated May 19, 2023			
3.	Equity Derivatives for non-hedging purposes	0%	Clause 12.25 of SEBI Master Circular dated May 19, 2023			
4.	Securitized Debt	50% of debt portion	Clause 12.15 of SEBI Master Circular dated May 19, 2023			
5.	Repo in Corporate Debt Securities	10%	Clause 12.18 of SEBI Master Circular dated May 19, 2023			
6.	Short Selling and Credit default swaps	0%	Clause 12.28 of SEBI Master Circular dated May 19, 2023			
7.	Structured Obligations / Credit Enhancements	not exceeding 10% of the debt portfolio of the scheme and the group exposure	Clause 12.3 of SEBI Master Circular dated May 19, 2023			

	-		-		
			in such instruments shall not exceed 5% of the debt portfolio of the scheme.		
	8.	REITs & InvITs	10%	Clause 12.21 of SEBI Master Circular dated May 19, 2023	
	9.	Investment in instruments with special features	0%	Clause 12.2 of SEBI Master Circular dated May 19, 2023	
	10	Overseas Securities	0%	Clause 12.19 of SEBI Master Circular dated May 19, 2023	
			erever applicable) in su in asset allocation shall	pport of exposure limits of be provided.	
	Subject may ch opportun be clear the perc to protec Circular short te portfolic In the ev passive the portf	ange from time to tim nities, applicable regula ly understood that the p eption of the Investmen ct the interests of the U dated May 19, 2023, a rm and for defensive o rebalancing will be ca went of deviation from breaches, the rebalanci folio is not rebalanced g details of efforts take	me, keeping in view ations and political and percentages can vary su nt Manager; the intention nit holders. As per claus such changes in the invo- consideration only. In rried out within 30 cale mandated asset allocation mg will be carried out if within 30 business day en to rebalance the port	on pattern indicated above market conditions, market d economic factors. It must ibstantially depending upon on being at all times to seek use 1.14.1.2 of SEBI Master vestment pattern will be for n the event of deviations, ndar days in such cases. on mentioned above due to in 30 business days. Where s, justification for the same folio shall be placed before hall be recorded in writing	
	the Investment Committee and reasons for the same shall be recorded in writing. The Investment Committee, if so desires, can extend the timelines up to sixty (60) business days from the date of completion of mandated rebalancing period in accordance with clause 2.9 of SEBI Master Circular dated May 19, 2023. However, at all times the portfolio will adhere to the overall investment objectives of the Scheme.				
Investment Strategy	The scheme will seek to achieve its investment objective primarily by employing various strategies which seek to exploit available arbitrage opportunities in markets. If suitable arbitrage opportunities are not available in the opinion of the Fund Manager, the scheme may predominantly invest in debt and money market securities.				
	implied market. higher t Implied potentia arbitrage markets	cost of carry between This provides for op han short term interest cost of carry and sprea- lly lead to profitable ar e strategies, which wou simultaneously. The a	the underlying cash in portunities to generate rates with minimal ac ds across the spot, futur bitrage opportunities. T ald entail taking offsetti irbitrage strategy can a	to derive returns from the market and the derivatives returns that are possibly tive price risk on equities. res and options markets can he Scheme would carry out ing positions in the various lso be on account of buy- ences in prices between two	

exchanges/markets. In this case the arbitrage strategy will not include an offsetting derivatives transaction.
The Investment Manager will use a disciplined quantitative analysis while accessing arbitrage opportunities. The Investment Manager will have an effective risk monitoring and control process to ensure adherence to regulatory guidelines and limits.
The arbitrage strategies the Fund may adopt could be as under. The list is not exhaustive and the Fund could use similar strategies and any other strategies as available in the markets.
(a) Index/ Stock spot – Index/ Stock Futures:
This strategy is employed when the price of the future is trading at premium to the price of its underlying in spot market. The Scheme shall buy the stock in spot market and endeavour to simultaneously sell the future at a premium on a quantity neutral basis.
Buying the stock in spot market and selling the futures results into a hedge where the Scheme has locked in a spread and is not affected by the price movement of cash market and futures market. The arbitrage position can be continued till expiry of the future contracts. The future contracts are settled based on the last half an hour's weighted average trade of the spot market. Thus there is a convergence between the spot price and the futures market on expiry. This convergence helps the Scheme to generate the arbitrage return locked in earlier. On or before the date of expiry, if the price differential between the spot and futures position of the subsequent month maturity still remains attractive, the scheme may rollover the futures position and hold onto the position in the spot market. In case such an opportunity is not available, the scheme would liquidate the spot position and settle the futures position simultaneously. Rolling over of the futures transaction means unwinding the short position in the subsequent month maturity short position in the subsequent month and simultaneously shorting futures of the subsequent month maturity, and holding onto the spot position.
(b) Index Arbitrage:
The Nifty 50 derives its value from fifty constituent stocks; the constituent stocks (in their respective weights) can be used to create a synthetic index matching the Nifty Index. Also, theoretically, the fair value of a future is equal to the spot price plus the cost of carry.
Theoretically, therefore, the pricing of Nifty Index futures should be equal to the pricing of the synthetic index created by futures on the underlying stocks.
Due to market imperfections, the index futures may not exactly correspond to the synthetic index futures. The Nifty Index futures normally trades at a discount to the synthetic Index due to large volumes of stock hedging being done using the Nifty Index futures giving rise to arbitrage opportunities.
One instance in which an index arbitrage opportunity exists is when Index future is trading at a discount to the index (spot) and the futures of the constituent stocks are trading at a cumulative premium.

The investment manager shall endeavour to capture such arbitrage opportunities by taking long positions in the Nifty Index futures and short positions in the synthetic index (constituent stock futures).

Based on the opportunity, the reverse position can also be initiated.

(c) Corporate Action / Event Driven Strategies:

I. Dividend Arbitrage

At the time of declaration of dividend, the stock futures / options market can provide a profitable opportunity. Generally, the stock prices decline by the dividend amount when the stock becomes ex-dividend.

II. Buy-Back/ Open Offer Arbitrage

When the Company announces the buy-back or open offer of its own shares, there could be opportunities due to price differential in buyback price and traded price.

III. Merger

When the Company announces any merger, amalgamation, hive off, de-merger, etc, there could be opportunities due to price differential in the cash and the derivative market.

(d) Portfolio Hedging:

This strategy will be adopted:

(i) If in an already invested portfolio of a Scheme, the Investment manager is expecting a market correction, the Investment manager may sell Index Futures to insulate the portfolio from the market related risks.

(ii) If there are significant inflows to the Scheme and the market expectations are bullish, the Investment manager may buy Index Futures to continue participation in the equity markets. This strategy is used to reduce the time to achieve the desired invested levels.

Though every endeavor will be made to achieve the objective of the Scheme, the AMC / Sponsors / Trustee do not guarantee that the investment objective of the Scheme will be achieved. No guaranteed returns are being offered under the Scheme.

RISK CONTROL

Investments made from the net assets of the Scheme would be in accordance with the investment objective of the Scheme and the provisions of the SEBI (MF) Regulations. The AMC will strive to achieve the investment objective by way of a judicious portfolio mix comprising of Debt and Money Market Instruments. Every investment opportunity in Debt and Money Market Instruments would be assessed with regard to credit risk, interest rate risk, liquidity risk, derivatives risk and concentration risk.

Policy for Investment decisions

The investment policy of the AMC has been determined by the Investment Committee ("IC") which has been ratified by the Boards of the AMC and Trustee. At the strategic level, the broad investment philosophy of the AMC and

	 the authorized exposure limits are spelt out in the Investment Policy of the AMC. During trading hours, the Fund Managers have the discretion to take investment decisions for the Scheme within the limits defined in the Investment Policy, these decisions and the reasons thereof are communicated to the CEO for post facto approval. The designated Fund Manager(s) of the Scheme will be responsible for taking day-to-day investment decisions and will inter-alia be responsible for asset allocation, security selection and timing of investment decisions. Portfolio Turnover Policy The Scheme is an open-ended Scheme. It is expected that there would be a number of subscriptions and redemptions on a daily basis. Further, in the debt market, trading opportunities may arise due to changes in system liquidity, interest rate policy announced by RBI, shifts in the yield curve, credit rating changes or any other factors. In the opinion of the fund manager these opportunities can be utilized to enhance the total return of the portfolio. The fund manager would endeavour to optimize portfolio turnover to maximize gains and minimize risks keeping in mind the cost and overall scheme objective. The
	Scheme has no specific target relating to portfolio turnover.
Risk Profile of the Scheme	 Mutual Fund Units involve investment risks including the possible loss of principal. Please read the SID carefully for details on risk factors before investment. Scheme specific Risk Factors are summarized below: Risks Associated with Equity Investments Risks Associated with Debt & Money Market Instruments Risks Associated with Derivatives Risks Associated with Segregated portfolio Risk Associated with segregated pottfolio Risk Associated with Securitized Debt Risk Associated with structured obligations and credit enhancement Risk factors associated with instruments having special features Risk Factors Associated with Investments in REITs and InvITS Risk associated with short selling Risks associated with Repo in Corporate Debt Risks associated with investing in Tri-Party Repo through CCIL (TREPS) For details on risk factors and risk mitigation measures, please refer SID.
Plans/Options	The Scheme will have Regular Plan and Direct Plan** with a common portfolio and separate NAVs. Investors should indicate the Plan for which the subscription
	 is made by indicating the choice in the application form. Each of the above Regular and Direct Plan under the scheme will have the following Options / Sub-options: (1) Growth Option and (2) Income Distribution cum Capital Withdrawal (IDCW) Option. The IDCW Option shall have only Reinvestment of IDCW and Payout of IDCW Option. The default option for the unitholders will be Regular Plan - Growth Option if he is routing his investments through a distributor and Direct Plan – Growth option if he is a direct investor.

		If the unit holders select IDCW option but does not specify the sub-option then the default sub-option shall be Reinvestment of IDCW.							
		Amounts can be distributed out of investors capital (Equalization Reserve), which is part of sale price that represents realized gains.							
		Plan"	agains	t the Sch	neme na				will have to indicate form i.e. "Mirae Asset
	Guidelin invalid A		r Proc	essing o	of trans	saction	s rece	ived und	ler Regular Plan with
	2024, tra	insact t Plan	ions re of the	ceived in	n Regu	lar Pla	n with	Invalid A	3-24 dated February 2, ARN shall be processed Regular Plan), applying
	Trans action	Prii	mary A	ARN	SUB distri r AR	ibuto N	EU IN*	Execu tion Only Menti oned	Regular Plan / Direct Plan
	Туре	V ali d	Inv alid	Emp anell ed	Val id	Inv alid	Val id	Yes	
	Lump Sum/	Y		Y				Y	Regular
	Registr	Y		N	Not a	pplica	ble		Direct
	ution	Y		Y	N.A	N. A	N. A	N	Regular
		Y		Y	Y	11	Y		Regular
		1	Y	1	1		1		Direct
		Y	1	Y	Y			Y	Regular
		Y		Y	1	Y		1	Direct
	Trigge	Y		1	Not a	pplica	hle		Regular
	r	-	Y			pplica			Direct
	date, subj discontinu approval **DIREC Units in a	ect to ue / v of the CT PL a School	o the S vithdra Board AN: I eme di	EBI (MI w any o l of Direct Direct P rectly w	F) Regu pption / ctors of lan is ith the	ulation invest AMC only fo Mutua	s. The ment p and T or inve l Func	AMC all plan, if d rustee. estors wh l or throu	vestment Plan at a later so reserves the right to leemed fit, after taking no purchase /subscribe igh the stock exchange nvestments through a
Applicable NAV (after									refer SAI s Application Form(s)

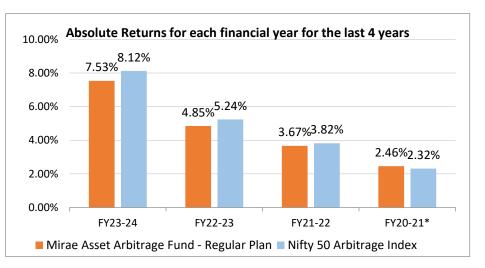
the scheme energy for	(complete in all respects) should	reach the Official I	Doints of Accontance to be		
the scheme opens for subscriptions and redemptions)	(complete in all respects) should entitled to the Applicable NAV of		onnes of Acceptance to be		
	An application will be considered accepted on a Business Day, subject to it being complete in all respects and received and time stamped upto the relevant Cut-off time mentioned below, at any of the Official Points of Acceptance of transactions. Where an application is received and the time stamping is done after the relevant Cut-off time the request will be deemed to have been received on the next Business Day.				
	Cut off timing for subscriptions/pu	urchases/switch- ins:			
	 i. In respect of valid applications received upto 3.00 p.m. at the Official Point(s) of Acceptance and where the funds for the entire amount of subscription / purchase/switch-ins as per the application are credited to the bank account of the Scheme before the cut-off time i.e. available for utilization before the cut-off time- the closing NAV of the day shall be applicable. ii. In respect of valid applications received after 3.00 p.m. at the Official Point(s) of Acceptance and where the funds for the entire amount of subscription / purchase as per the application are credited to the bank account of the Scheme before the cut-off time of the next Business Day i.e. available for utilization before the cut-off time of the next Business Day - the closing NAV of the next Business Day shall be applicable. iii. Irrespective of the time of receipt of applications at the Official Point(s) of Acceptance, where the funds for the entire amount of subscription/purchase/ switch-ins as per the application are credited to the bank account of the Scheme before the cut-off time on any subsequent Business Day i.e. available for utilization before the cut-off time on any subsequent Business Day - the closing NAV of such subsequent Business Day shall be applicable. For Redemption/ Repurchases/Switch out: i. In respect of valid application accepted at an Official Points of Acceptance up to 3 p.m. on a Business Day by the Fund, the closing NAV of that day will be applicable. 				
	ii. In respect of valid application accepted at an Official Point of Acceptance as listed in the SAI, after 3 p.m. on a Business Day by the Fund, the closing NAV of the next Business Day will be applicable.				
Minimum Application	Purchase:	Additional Purchases	Redemption:		
Amount/ Number of Units	Investors can invest under the Scheme during the ongoing offer period with a minimum investment of Rs.5,000/- and in multiples of Re. 1/- thereafter.	Purchase: For subsequent additional purchases, the investor can invest with the minimum amount of Rs.	The minimum redemption amount shall be 'any amount' or 'any number of units' as requested by the investor at the time of redemption request.		
	shall be Rs. 500/- and in multiples of Re. 1 thereafter. The Minimum Application	1000/- and in multiples of Re. 1/- thereafter.			
	shall not be applicable to the	The minimum			

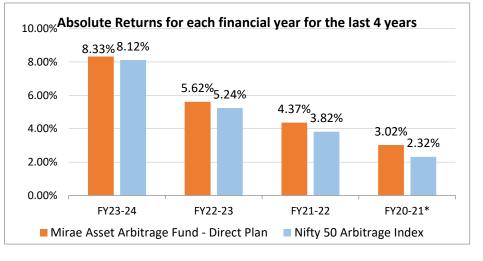
	mandatory investmen	nts made in	amount for SIF	>	
	the Scheme pursua		shall be Rs. 500/		
	provisions of claus		and in multiples	8	
	6.10 of SEBI Maste		of Re. 1		
	dated May 19, amended from time t	<i>,</i>	thereafter.		
		o time.			
Despatch of Redemption Request	The redemption or r within three working	• •		•	
	For list of exceptiona Mutual Funds dated M		s refer para 14.1.3	3 of SEBI Ma	aster Circular for
Benchmark Index	NIFTY 50 Arbitrage				
Dividend Policy (IDCW)	The IDCW warrants from the record date.	shall be dispat	ched to the unit h	olders withir	17 working days
	In case of Unit Hold Mutual Fund would proceeds shall be dire	have made	arrangements from		
	The IDCW will be paid by warrant and payments will be made in favor of the Unit holder (registered holder of the Units or, if there is more than one registered holder, only to the first registered holder) with bank account number furnished to the Mutual Fund (please note that it is mandatory for the Unit holders to provide the Bank account details as per the directives of SEBI).				
	Further, the IDCW proceeds may be paid by way of ECS/EFT/NEFT/RTGS/any other manner through which the investor's bank account specified in the Registrar & Transfer Agent's records is credited with the IDCW proceeds as per the instructions of the Unit holders. In case the delay is beyond seven working days, then the AMC shall pay interest @ 15% p.a. from the expiry of seven working days till the date of dispatch of the warrant.				
Name of the Fund	Mr. Abhishek Iyer				
Manager	Mr. Jignesh Rao				
Name of the Trustee	Mr. Jigar Sethia Mirae Asset Trustee	Company Dul-	to Limited		
Company	Willae Asset Trustee				
Performance of the					
scheme :	Particulars	0	lan – Growth ption		n – Growth tion
[In case of a new	Compounded	Scheme	Benchmark	Scheme	Benchmar
scheme, the statement	Annualised	returns (%)	Returns (%)	returns	k Returns
should be given "This	Growth Returns			(%)	(%)
scheme does not have any performance track	(CAGR)	4.00	5.04	<i>с 7 с</i>	5.24
record"]	Since Inception	4.99	5.24	5.75	5.24
	Last 1 year	7.64	8.19	8.45	8.19
Or	Last 3 years	5.49	5.80	6.26	5.80
	Last 5 years	NA	NA	NA	NA
[In case of a scheme in existence, the return figures shall be given for	NAV as on 31/05/2024	12.123	2,329.16	12.471	2,329.16

that scheme only, as per the For a scheme which is in existence for more than 1 year, the returns given will be Compounded Annualised Returns and for scheme which is in existence for less than 1 vear, the returns would be absolute returns since inception.] Absolute returns for each financial year for the last 5 years shall be represented by means of a bar diagram as per the adjacent format.]

Since Inception date of the Scheme: 19th June, 2020







* from inception 19th June, 2020

Past performance may or may not be sustained in future and should not be used as a basis for comparison with other investments.

As per the SEBI standards for performance reporting, the returns are calculated at allotment NAV. For this purpose the inception date is deemed to be the date of allotment. The calculations of returns shall assume reinvestment of all payouts at the then prevailing NAV. The absolute graph of is computed from the Date of Allotment/1st April, as the case maybe, to 31st March of the respective financial year.

Additional Scheme	1. Scheme's portfolio hole				
Related Disclosures		ous sectors are available			
		ww.miraeassetmf.co.in/dow	<u>nloads/statutory-</u>		
	disclosure/other-disclosur		. 1 1		
	2. Disclosure of name and				
	sectors as a percentage of NAV of the scheme in case of debt and equity ETFs/index funds through a functional website link that contains detailed				
	description :- N.A				
	3. Portfolio Turnover Ratio:	: 22.95 times			
Expenses of the					
Scheme	Exit load:				
	• 0.25% If units Redeemed	or Switched Out within 15	days from the date of		
Load Structure	allotment.				
	• Nil after 15 Days.				
Recurring expenses	These are the fees and expenses f				
	Investment Management and Ad		5		
	Transfer Agents' fee, marketing a	ind selling costs etc. as give	en in the table below:		
	The AMC has estimated that upto	2.25% of the daily not as	ate of the Scheme will		
	be charged to the scheme as ex				
	recurring expenses including inve				
	charged to the Scheme shall be s		•		
	in the table below:	5 I C			
	First Rs. 500 crores	2.25%			
	Next Rs. 250 crores	2.00%			
		Next Rs. 1250crores 1.75%			
	Next Rs. 3000 crores	1.60%			
	Next Rs. 5000 crores 1.50%				
	on the next Rs. 40,000 crores of the daily net assets	Total expense ratio reduc every increase of Rs 5,00			
	of the daily net assets	assets or part thereof, on			
		crores of the daily net ass			
	Balance of assets	1.05%			
	For the actual current expenses				
	website of the mutual fund https	://www.miraeassetmf.co.in	/downloads/statutory-		
	disclosure/total-expense-ratio				
	Doution				
	Particulars % p.a. 0				
	Investment Management & Adv	isory Fee	net assetsUpto 2.25%		
	Trustee fee				
	Audit fees		1		
	Custodian fees				
	RTA Fees]		
	Marketing & Selling expense in	cl. agent commission**			
	Cost related to investor commun				
	Cost of fund transfer from locati		╡		
	Cost of providing account staten	nents and redemption			
	cheques and IDCW warrants		4		
	Costs of statutory Advertisemen		-		
	Cost towards investor education	& awareness (2 bps)			

Brokerage & transaction cost over and above 12 bps and 5	
bps for cash market transactions and derivative transaction respectively @@	
GST on expenses other than investment and advisory fees GST on brokerage and transaction cost	
Other Expenses*	
Maximum total expense ratio (TER) permissible under Regulation 52 (6) (c) (i) and (6) (a)	Upto 2.25%
^ Additional expenses under regulation 52 (6A) (c) Upto 0.05%	Upto 0.05%
\$ Additional expenses for gross new inflows from specified cities***	Upto 0.30%
* Other expenses: Any other expenses which are directly Scheme, may be charged with approval of the Trustee within specified in the Regulations except those expenses why prohibited.	the overall limits as
@ @ Brokerage and transaction costs which are incurred execution of trade and is included in the cost of investment per cent in case of cash market transactions and 0.05 derivatives transactions.	shall not exceed 0.12
In addition to the limits as specified in Regulation 52(6) of S Regulations 1996 ['SEBI Regulations'] or the Total Recur Expense Limit) as specified above, the following costs of charged to the scheme namely:-	ring Expenses (Total
\$ Additional expenses for gross new inflows from specified c	ities:
 (a) expenses not exceeding of 0.30 per cent of daily net asse from such cities as specified by SEBI/AMFI from time to tim (i) 30 per cent of gross new inflows in the scheme, or; (ii) 15 per cent of the average assets under management of scheme, whichever is higher: 	e are at least -
Provided that if inflows from such cities is less than the high sub-clause (ii), such expenses on daily net assets of the Scho on proportionate basis.	
Provided further that, expenses charged under this clause distribution expenses incurred for bringing inflows from such	
Provided further that amount incurred as expense on account cities shall be credited back to the Scheme in case the said is within a period of one year from the date of investment.	
This sub clause (a) shall be applicable for inflows received du	ring the NFO period.
*** Note: SEBI vide its letter no. SEBI/HO/IMD-SEC- dated February 24, 2023 and AMFI letter dated No. 35P/ M 23 dated March 02, 2023 has directed AMCs to keep B-30 abeyance with effect from March 01, 2023 till further notice.	IEM-COR/ 85/ 2022-

	Actual expenses for the previous financial year 2023-24:					
	Regular Plan: 1.00% Direct Plan: 0.12%					
	The maximum limit of recurring expenses that can be charged to the Scheme					
		e SEBI (MF) Regulation, 1996. Investors are cheme Recurring Expenses" in the SID.				
Tax treatment for the		the details in the Statement of Additional				
Investors (Unitholders)	Information and also independently r					
Daily Net Asset Value (NAV) Publication	The AMC will calculate and disclose	the NAV at the close of every Business Day.				
(IVAV) I ubication	The AMC shall update the NA	Vs on the website of the Mutual Fund				
		on the website of Association of Mutual				
	Funds in India - AMFI (www.amfii Day.	ndia.com) by 11.00 p.m. on every Business				
For Investor	KFin Technologies Limited	Mr. Chaitanya Chaubal				
Grievances please	Karvy Selenium, Tower B, Plot	Mirae Asset Investment Managers (India)				
contact	Number 31 & 32, Financial District, Gachibowli, Hyderabad -	Pvt. Ltd. 606, 6 th Floor, Windsor Bldg, Off CST				
	500 034.	Road, Kalina, Santacruz (E), Mumbai - 400				
		098.				
		Telephone Nos.: 6780 0300 e-mail: customercare@miraeasset.com				
		c-man. <u>customercarc@miracasset.com</u>				
		Investors may contact any of the ISCs or				
	the AMC by calling the investor line of the AMC at "1800 2090 777" or visit the					
		website at www.miraeassetmf.co.in for				
		complete details.				
Unitholders' Information	 Dispatch of redemption proceed redemption 	eds: 4 working days from the date of				
	A	le) etc.: within 7 working days from the				
	record date					
	For more details, please refer and Statement of Additional Information (SAI).					
	Accounts Statements					
	The AMC shall send an allotment c	confirmation specifying the units allotted by				
	•	in 5 working days of receipt of valid				
		holders registered e-mail address and/ or reld in demat mode or in account statement				
	mobile number (whether units are held in demat mode or in account statement form).					
	A Consolidated Account Statement (CAS) detailing all the transactions across all				
	mutual funds (including transaction c	harges paid to the distributor) and holding at				
		ent to the Unit holders in whose folio(s) ng the month by mail or email on or before				
	15th of the succeeding month.	is the month of main of emain on of before				
	Half-yearly CAS shall be issued at t	he end of every six months (i.e. September/				
		ceeding month, to all investors providing the				
	prescribed details across all schem	es of mutual funds and securities held in				

demotorialized form across demot accounts, if applicable
dematerialized form across demat accounts, if applicable
Half yearly Disclosures: Financial Results
The AMC/Mutual Fund shall within one month from the close of each half year, that is on March 31st and on September 30th, host a soft copy of its unaudited financial results on their website https://www.miraeassetmf.co.in/downloads/statutory-disclosure/financials. The half-yearly unaudited financial results shall contain details as specified in Twelfth Schedule of the SEBI (Mutual Funds) Regulations, 1996 and such other details as are necessary for the purpose of providing a true and fair view of the operations of Mirae Asset Mutual Fund.
The AMC/Mutual Fund shall publish an advertisement disclosing the hosting of unaudited financial results on their website <u>www.miraeassetmf.co.in</u> in at least one English daily newspaper having nationwide circulation and in a newspaper having wide circulation published in the language of the region where the Head Office of the Mutual Fund is situated.
The mutual fund shall publish an advertisement in the all India edition of at least two daily newspapers, one each in English and Hindi, disclosing the hosting of the half-yearly statement of the Scheme portfolio on its website and on the website of Association of Mutual Funds in India (AMFI). The AMC will provide a physical copy of the statement of its Scheme portfolio, without charging any cost, on specific request received from a unitholder.
Annual Report
Pursuant to Regulation 56 of SEBI (Mutual Funds) Regulations, 1996 read with Clause 5.4 of SEBI Master Circular SEBI/HO/IMD/IMD-PoD-1/P/CIR/2023/74 dated May 19, 2023, the scheme wise annual report or abridged summary thereof will be hosted on the website of the Mirae Asset Mutual Fund viz. https://www.miraeassetmf.co.in/downloads/statutory-disclosure/financials and on the website of AMFI, not later than four months after the close of each financial year (31st March). The AMCs shall display the link prominently on the website of the Mirae Asset Mutual Fund viz. https://miraeassetmf.co.in and make the physical copies available to the unitholders, at their registered offices at all times. Unit holders whose e-mail addresses are not registered will have to specifically 'opt in' to receive physical copy of scheme wise annual report or abridged summary thereof. The unit holders may request for a physical copy of scheme annual reports at a price and the text of the relevant scheme by writing to the Mirae Asset Investment Managers (India) Pvt Ltd. / Investor Service Centre / Registrar & Transfer Agents. The Mutual Fund / AMC shall provide a physical copy of abridged report of the annual report, without charging any cost, on specific request received from a unit holder. An advertisement shall be published every year disclosing the hosting of the scheme wise annual report on website of Mirae Asset Mutual Fund and on the website of AMFI and the modes such as SMS, telephone, email or written request (letter) through which a unitholder can submit a request for a physical or electronic copy of the scheme wise annual report or abridged summary thereof. Such advertisement shall be published in the all India edition of at least two daily newspapers, one each in English and Hindi.
Monthly/Half Yearly Portfolio Disclosures:

The Mutual Fund/ AMC will disclose portfolio (along with ISIN) of the Scheme in the prescribed format, as on the last day of the month / half-year i.e. March 31 and September 30. its website on viz. https://www.miraeassetmf.co.in/downloads/portfolio and on the website of Association of Mutual Funds in India (AMFI) viz. www.amfiindia.com within 10 days from the close of each month/ half year respectively. In case of unitholders whose e-mail addresses are registered, the Mutual Fund/ AMC will send via email both the monthly and half yearly statement of scheme portfolio within 10 days from the close of each month/ half year respectively. Mutual Fund / AMC will publish an advertisement every half year in the all India edition of at least two daily newspapers, one each in English and Hindi, disclosing the hosting of the half-yearly statement of the Scheme portfolio on its website and on the website of Association of Mutual Funds in India (AMFI). Mutual Fund / AMC will provide a physical copy of the statement of its Scheme portfolio, without charging any cost, on specific request received from a unitholder.

Monthly Average Asset under Management (Monthly AAUM) Disclosure

The Mutual Fund shall disclose the Monthly AAUM under different categories Schemes as specified by SEBI in the prescribed format on a monthly basis on its website viz. <u>https://www.miraeassetmf.co.in/downloads/statutorydisclosure/other-disclosure</u> and forward to AMFI within 7 working days from the end of the month.

Scheme Summary Document

The AMC has provided on its website a standalone scheme document for all the Schemes which contains all the details of the Scheme viz. Scheme features, Fund Manager details, investment details, investment objective, expense ratios, portfolio details, etc. Scheme summary document is uploaded on the websites of AMC viz. <u>https://www.miraeassetmf.co.in/downloads/statutory-disclosure/other-disclosure</u>, AMFI and stock exchanges in 3 data formats i.e. PDF, Spreadsheet and a machine readable format (either JSON or XML). The document shall be updated by the AMCs on a monthly basis or on changes in any of the specified fields, whichever is earlier.

Product Labeling and Risk-o-meter:

The Risk-o-meter shall have following six levels of risk:

- 1. Low Risk
- 2. Low to Moderate Risk
- 3. Moderate Risk
- 4. Moderately High Risk
- 5. High Risk and
- 6. Very High Risk

The evaluation of risk levels of a scheme shall be done in accordance with clause 17.4 of SEBI Master Circular dated May 19, 2023.

Any change in risk-o-meter shall be communicated by way of Notice cum Addendum and by way of an e-mail or SMS to unitholders. The risk-o-meter shall be evaluated on a monthly basis and the risk-o-meter along with portfolio

disclosure shall be disclosed on the AMC website viz. <u>https://www.miraeassetmf.co.in/downloads/portfolio</u> as well as AMFI website within 10 days from the close of each month.
The AMC shall disclose the risk level of schemes as on March 31 of every year, along with number of times the risk level has changed over the year, on its website viz. <u>https://www.miraeassetmf.co.in/downloads/statutory-disclosure/other-disclosure</u> and AMFI website.
Further, in accordance with clause 5.16 of SEBI Master Circular dated May 19, 2023, the AMC shall disclose:
a. risk-o-meter of the scheme wherever the performance of the scheme is disclosed;
b. risk-o-meter of the scheme and benchmark wherever the performance of the scheme vis-à-vis that of the benchmark is disclosed.
c. scheme risk-o-meter, name of benchmark and risk-o-meter of benchmark while disclosing portfolio of the scheme.

Date:- June 29, 2024

APPLICATION FORM - MIRAE ASSET ARBITRAGE FUND

An open ended scheme investing in arbitrage opportunities

Application No.:

		are seeking

- Income over short term. Investments predominantly in arbitrage opportunities in the cash and derivative segments and the arbitrage opportunities available within the derivative segment.



Mutual Fund

MIRAE ASSET

Name & Broker Code/ ARN/RIA Code	Sub Broker / Agent ARN Code	Sub Agent Code	EUIN*	Internal Code for AMC	ISC Date Time Stamp Reference No.
EUIN Declaration: Declaration for Execution Only the EUIN box has been intentionally left blank by me/					
advice of in-appropriateness, if any, provided by the e feed/portfolio holdings/NAV etc. in respect of my/our in	mployee/relationship manager/sa	ales person of the distributors/sub br	roker. RIA/Declaration: "I/We he	ereby give you my/our consent to share	
Sign of 1 st Applicant / Guardian / Auth. Signatory	v / PoA / Karta	Sign of 2 nd Applicant / Guardian /	Auth Signatory / PoA	Sign of 3 rd Applicant / G	Guardian / Auth. Signatory / PoA
Please 🗸 Lumpsum Investment		Micro Applica			
TRANSACTION CHARGES (Please	∂ any one of the below	A Refer Instructions No.	11)		
I AM A FIRST TIME INVESTOR IN MU		OR		N EXISTING INVESTOR IN M	
Applicable transaction charges will be ded registered Distributor)based on the investor					Investor to the ARN Holder(AMF
1. EXISTING UNIT HOLDER INFOR	MATION- Please fill in	your Folio Number, PAN	, KIN in below Sectior	ns 2, 3, 4 & proceed to Sect	ion 7 for Investment Details.
Folio No.				ned alongside will apply for this KYC credentials may be filled	s application.All Unit Holders in the in the below sections.
2. APPLICANT(S) NAME AND IN IN		•			
1 st SOLE APPLICANT Mr. / Ms. /M/s.				PAN	
(Please write the name as per PAN Card)					
LEI Code for entities					
CKYC ID No. (KIN)			Pls indi		for tax purpose / Resident of Canada lo ^s (\$Default if not ✔)
GUARDIAN (In case 1 st Applicant is a Min Mr. / Ms. / M/s.	nor)				i p with Minor (Please √) Father Legal Guardia
GUARDIAN CKYC			KYC (Please ✓)	GUARDIAN	
D No. (KIN)			Proof Attached	PAN	
POA / Custodian Name: POA / Custodian			D O		(C (Please ✓) Proof Attached
CKYC ID No. (KIN)			PU	A / Custodian PAN	
Contact Person for Corporate Investo	r: Nan			Designation:	
3. FIRST APPLICANT AND KYC DE	TAILS All fi	elds marked as 🗚 are	Mandatory		
I st SOLE APPLICANT Individual or		Please II Ultimate Beneficial			11b - Refer Instruction No. 17]
Date of Birth/ Incorporation D M (Individual) (Non-Individual) Please write the Date of birth as per Aadhaar Ca		Proof of Date of Birth (Ple (For minor applicant		n Certificate Sch sport of the Minor Othe	ool Leaving Certificate / Mark Sho ers(Please specify)
Place of Birth /	Country of Birth	1	Nationality:	Gender	
ncorporation: Please write the Date of birth as per Aadhaar Ca	Incorporation:		Nationality.	Gender	
	e Prop 🔲 NRI - NRE	Trust Bank / Fls	Fils PIO	Society/AOP/BOI 🗌 Minor t	hrough Guardian 🗌 NRI - NRC
HUF LLP Listed Company Priv	ate Company Public L	td. Company Artificial Ju	ridicial Person Partne	rship Firm FOF - MF Schen	nes Other (Please specify)
NPO Registration Number of DARPA	N Portal (Mandatory)				
a*. Occupation Details [Please (✔)]	Private SeBusiness	ctor Public Sector	 Government Servi Retired 	ice Student	Professional Housewife Others (Please specify)
b*. Politically Exposed Person (PEP) Statu	us (Also applicable for autho	prised signatories/Promoters/K	arta/Trustee/Whole time D	irectors) 🗌 I am PEP 🗌 I am I	Related to PEP 🗌 Not Applicabl
c*. Gross Annual Income (₹) [Please (✔)]	Below 1 La	akh 🗌 1-5 Lakhs	5-10 Lakhs	10-25 Lakhs	>25 Lakhs >1 Cror
d*. Net-worth (Mandatory for Non-Individu	als)₹		as on		Y Y (Not older than 1 yea
e*. Non-Individual Investors involved/prov any of the mentioned services	•	eign Exchange / Money Ch ley Lending / Pawning		Gaming/Gambling/Lottery/C None of the above	Casino Services
4. BANK ACCOUNT DETAILS - N	landatory [Refer Inst	ruction Nos. 3 & 4]			
Name of the Bank:					
Core Banking A/c No.			A/c. Typ	e Pls. (✓) □ NRE □ CURREI	NT SAVINGS NRO Oth
Branch Name:		Address:		- \ · /	
Bank Branch City:		State:		Pin Co	de
	Place	e attach a cancelled cheque	e IFSC Code (Mandat		
MICR Code		clear photo copy of a cheque			

5. JOINT APPLICANTS, IF ANY AND TH	EIR KYC DETAILS A	ll fields marked as 🗚 a	re Mandatory	
Mode of Holding: Anyone or Survivor 2 nd APPLICANT Mr. / Ms. / M/s. (Not Applie	Sin	•		ease note that the Default option is Anyone or Survivor) Gender Male Female Other
PAN Details		Pls indicates if US Person or	a resident for tax purpose / Resi	ident of Canada ☐ Yes ☐ No* (*Default if not 🗸)
		KYC F	Is 🕢 🗌 Proof Attached	Date of Birth(Mandatory) D D M M Y Y Y Y (As per PAN Card)
Place of Birth	Country of Bir	th	Na	ationality:
a*. Occupation Details [Please(/)]			vernment Service Stude riculture Propr	ent Professional Housewife rietorship Others (Please specity)
b*. Politically Exposed Person (PEP) Status	🗌 m PEP 🔄 I	am Related to PEP 🗌 No	t Applicable	
c*. Gross Annual Income (₹) [Please(✔)] d*. Net-worth ₹	Below 1 Lakh	D D M I	-10 Lakhs	
Mode of Holding: Anyone or Survivo	Sin	as on Igle □ □ Jo	×	older than 1 year) lease note that the Default option is Anyone or Survivor)
	cable in case of Minor Applicant)			Gender All Male Female Other
PAN Details		Pls indicates if US Person or	a resident for tax purpose / Resi	ident of Canada
CKYC ID No. (KIN)		KYC P	Is 🧭 🗌 Proof Attached	Date of Birth(Mandatory) D D M M Y Y Y Y (As per PAN Card)
Place of Birth	Country of Bir	th	Na	ationality:
a*. Occupation Details [Please(/)]			vernment Service Stude riculture Propr	ent Professional Housewife rietorship Others (Please specity)
b*. Politically Exposed Person (PEP) Status	🗌 m PEP 📃 I	am Related to PEP 🗌 No	t Applicable	
c*. Gross Annual Income (₹) [Please(✔)]	Below 1 Lakh	I-5 Lakhs 🛛 5	-10 Lakhs 🗌 10-25	5 Lakhs 🗌 >25 Lakhs 🔲 > 1 Crore
d*. Net-worth ₹		as on M I	M Y Y Y Y (Not o	Ider than 1 year)
6. MAILING ADDRESS [Please provid	e your E-mail ID and Mob	ile Number to help us se	rve you better Refer Instruc	ctions 6]
Local Address of 1 st Applicant				
	City		State	Pin Code
		Resi.	Mobile	e
Mobile No specified above belongs to Self or Spouse Guardian(for Minor Investm				Siblings
E - Mail^^ APlease Use Block Letters. Investors providing copies are required kindly refer instruction no. 6(ceive all Communications, Sta	tement of Accounts and Abridged	d Annual Report through e-mail only.Incase if physical
Email address specified above belongs to			,	
Spouse Guardian(for Minor Investr 6a. Mandatory for NRI / FII Applicant []	, ,			t Siblings as Investors, Indian Address is preferred]
Overseas Correspondence Address				
7. INVESTMENT AND PAYMENT DET	All S (For complete infer	nation on Investment De	ails places Refer to Instruct	tions No. 6.)
Scheme - MIRAE ASSET ARBITRAGE FUN			Regular Plan Growth (De Direct Plan	
*IDCW frequency is applicable only for Mirae Asset L *Income Distribution cum Capital Withdrawal. IDCW		ht Fund & Mirae Asset Low Dura	tion Fund. Default option here will b	be Daily if frequency not selected.
	(Non-Third Party Payment)		/ment (Please attach 'Third Part	
	nount of Cheque / DD / S / NEFT in figures (Rs.)	DD Charges, if any	Net Purchase Amount	Drawn on Bank / Pay-In Bank A/c No. Branch (For Cheque Only)

8. DEMAT ACCOUNT: Mandatory for units in Demat Mode -Please Ensure the sequence of names as mentioned under sec-3 matches as per the Depository Details.											
National Securities Depository Limited (NSDL)	Central Depository Services (India) Limited (CDSL)										
DP Name	DP Name										
DP ID I N Benef. A/C No.	16 Digit A/C No.										
Enclosures - Please (✓) □ Client Masters List (CML) □ Transaction of	cum Holding Statement Delivery Instruction Slip (DIS)										

9. NOMINATION DETAILS MANDATORY [Minor / HUF / POA Holder / Non Individuals cannot Nominate - Refer Nomination Instruction No. 20]

□ I/We wish to make a nomination and do hereby nominate the following person(s) who shall receive all the assets held in my / our account in the event of my / our death.

Nomination can three nominees	be made upto in the account.	Details of 1 st Nominee	Details of 2 nd Nominee	Details of 3 rd Nominee				
		Mandato	ry Details					
1 Name of the (Mr./Ms.)*	he nominee(s)							
2 Share of each	Equally [If not equally,	%	%	%				
Nominee	please specify percentage]	Any odd lot after divis	sion shall be transferred to the first nominee mention	ed in the form.				
3 Relationship Applicant	o With the							
Date of Birth (in case	e of Minor)							
Name of Guardian	(in case of Minor)							

Non -Mandatory Details

4	Address of Nominee(s)/ Guardian in case of Minor		
	City / Place: State & Country:		
	PIN Code		
5	Mobile / Telephone No. of nominee(s)/ Guardian in case of Minor		
6	Email ID of nominee(s)/ Guardian in case of Minor		
7	Nominee/ Guardian (in case of Minor) Identification details – [Please tick any one of following and provide details of same]		
	Photograph & Signature PAN Aadhaar Saving Bank account no. Proof of Identity Demat Account ID		

□ Declaration for opting-out of nomination

I / We hereby confirm that I / We do not wish to appoint any nominee(s) in my / our MF Folio and understand the issues involved in non-appointment of nominee(s) and further are aware that in case of death of all the account holder(s), my / our legal heirs would need to submit all the requisite documents / information for claiming of assets held in my / our MF Folio which may also include documents issued by Court or other such competent authority, based on the value of assets held in the MF Folio.

Name and Signature of Holder(s)*

	•	
Signature of 1 ^{er} Applicant	Signature of 2 rd Applicant	Signature of 3 st Applicant

Signature of witness, along with name and address are required, if the account holder affixes thumb impression, instead of signature

Note:

This nomination shall supersede any prior nomination made by the account holder(s), if any.

The Intermediary shall provide acknowledgement of the nomination form to the account holder(s)

FOR NON-INDIVIDUALS ONLY

10. FA	ATCA & CRS DETAILS											TCA	& CRS	clas	sific	ation))							
We ar		GIIN																						
Finan or	Financial institution Note: If you do not have a GIIN but you are s							y another e	ntity, plea	se provide y	our sponsor's	GIIN al	bove and i	indicate	e your s	ponsor's	s nam	e below						
	t reporting NFE 🗌 se tick (✓)]	Nam	e of sp	onsorir	ng entity	/ :																		
GIIN	GIIN not available [Please tick (✓)] Applied for Not required to apply for - please specify 2 digits sub-category Not obtained - Non-participatin											ipating												
PART B (please fill any one as appropriate "to be filled by NFEs other then Direct Reporting NFEs")																								
1	Is the Entity a publ	icly trac	led com	npany			Yes	s (If yes, ۲	olease s	pecify any	one stock	excha	inge on v	which	the st	ock is i	regul	larly tra	ded)					
	(that is, a company traded on an estab						Name	of stock e	xchange):														
2	Is the Entity a relate traded company (a						🗌 Yes	s (If yes, p	olease s	pecify na	ne of the lis	sted co	mpany	and or	ne sto	ck excl	hang	je on wł	hich	the sto	ck is re	gularly	radeo	d)
	regularly traded on					arket)	Name	of Listed of	compna	/:														
							Nature	of relation	n 🗆	Subsidia	y of the Lis	ted Co	ompany o	or		Control	led b	y a List	ed C	ompan	у			
							Name	of stock e	xchange):														
3	Is the Entity an acti	ive NFE					🗌 Ye	s (If yes, r	olease fi	ll UBO de	claration in	the ne	ext section	on.)										
							Nature	of Busine	ess:															
							Please	specify t	he sub-	category o	f Active NF	E		Me	ntion	code: F	Refer	r instruc	ction	15(c)				
4	Is the Entity an Pas	sive NF	E				Ye:	s (If yes, p	olease fi	ll UBO de	claration in	the ne	ext section	on.)										
							Nature	of Busine	ess:															
# If page	ive NFE, please provide be	low additi	onal dotai	ile (Plose	o attach a	dditional					on no. 1	-	tails if th		doos	notha		DAN /D	Pofor	Instruc	tion No	16)		
-	Any other Identification N			-	e allacii a		ation Typ					ory ue	tans ii tii		Juoes	notna	ive a	FAN. (N	Verei	mstruc		. 10)		
Election II	D, Govt. ID, Driving Licence NREGA			, rassport,		Nation								DOB: Date of Birth Gender: Male, Female, Other										
1. PAN	:						ation Typ																	
City	of Birth					Nation	ality:							Date of Birth: Gender Male Female Other										
Cou	ntry of Birth:					Father	's Name:							Ger	nder		Male		Fem	nale	∐ Ot	ner		
2. PAN	:					Occup	ation Typ	e:						Date of Birth:										
- i	of Birth					Nation	ality:																	
Cou	ntry of Birth:					Father	's Name:							Gender Male Female Other										
3. PAN	:					Occup	ation Typ	e:						Date of Birth:										
	of Birth					Nation								Gender Male Female Other										
	ntry of Birth:						's Name:																	
* To inclu	nal details to be filled by con Ide US, where controlling pe e Tax Identfication Number i	rson is a L	IS citizen	or green o	ard holde	r		zenship/G	Green Ca	ard in any o	ountry othe	r than li	ndia.											
	ECLARATION FOR U										· ·													_
person(s)	claration is not needed for C), confirming ALL countries nt and Auditor's Letter with re	of tax res	idency / j	permaner	nt residen	cy / citize	ck exchan inship and	ge or is a ALL Tax	Subsidi Identific	ary of suc ation Num	h Listed Co bers for EA	mpany CH cc	or is Co ontrolling	ntrolle perso	d by s on(s). (such Lis Owner-	sted (docu	Compar imented	ny. Pl I FFI'	lease li 's shou	st belov Id provi	v the de de FFI	etails o Owne	f controlli r Reporti
Our	company is a Listed C	ompany	on a ree	-			-					-	Listed	Com	pany	[If this o	categ	jory is se	electe	ed, no r	need to	orovide	UBO	letails].
	the Stock Exchange whe															Se	curit	y ISIN						
	the Listed Company (apprint the Listed Company December 2017) Particular terms of the second se			_	_ *		te): ed associa	ation / bo	dy of ir	dividuals		Public	Charita	ble Tr	ust		Priv	ate Tru	ist		Religio	ous Tru	ust	
Trus	st created by a Will.	Others	[please :	specify]																				
11a. U	Itimate Beneficiary O	wner (L	IBO) / C	ontroll	ing Per	son(s) /	Senior	Managi	ing Off	icial de	ails.													
-	our company/entity ha - We hereby declare that t	-			• •					-												No are give	en belo	ow.
lf 'NO' - c	declare that no individual pre provided below.		-			-			-															

Cheque/DD should be Drawn in favour of MIRAE ASSET ARBITRAGE FUND

Application No.:

The detail of this page should be filled by Non-Individual investors only.

	UBO-1 / Senior Managing Official (SMO)	UBO-2	UBO-3
Name of the UBO / SMO#.			
UBO / SMO PAN#. For Foreign National, TIN to be provided]			
UBO / SMO Country of Tax Residency#			
UBO / SMO Taxpayer Identification Number / Equivalent ID Number#.			
UBO / SMO Identity Type			
UBO / SMO Place & Country	Place of Birth	Place of Birth	Place of Birth
of Birth#	Country of Birth	Country of Birth	Country of Birth
UBO / SMO Nationality			
UBO / SMO Date of Birth [dd-mmm-yyyy] #			
UBO / SMO PEP#	PEP.	PEP.	PEP.
UBO / SMO Address Type	Residence Business Registered Office	Residence Business Registered Office	Residence Business Registered Office
UBO / SMO Occupation	Public Service	Public Service	Public Service
SMO Designation#			
UBO / SMO KYC Complied**. If not complied, please complete KYC process independently and then submit the proof.	Please attach the KYC acknowledgement.	Please attach the KYC acknowledgement.	Please attach the KYC acknowledgement.

Mandatory column.
** In case of Foreign Nationals, who are not KYC complied, they need to attach the ID proof in English along with the Nationality proof, Address proof again in English. If the documentary proof is in Foreign Language, it should be translated in English and should be attested by Indian Embassy of that country. Note: If the given columns are not sufficient, required information in the given format can be enclosed as additional sheet(s) duly signed by Authorized Signatory.

Participating Mutual Fund(s) / RTA may call for additional information/documentation wherever required or if the given information is not clear / incomplete / correct and valid declaration should be submitted again with all the required information

Instructions

As per PMLA guidelines and relevant SEBI circulars issued from time to time, non-individuals and trusts are required to provide details of controlling persons [CP] / ultimate beneficiary owner [UBO] and submit appropriate proof of identity of such CPs/ UBOs. The beneficial owner has been defined in the circular as the natural person or persons, who ultimately own, control or influence a client and/or persons on whose behalf a transaction is being conducted and includes a person who exercises ultimate effective control over a legal person or arrangement.

A. For Investors other than individuals or trusts:

(i) The identity of the natural person, who, whether acting alone or together, or through one or more juridical person, exercises control through ownership or who ultimately has a controlling ownership interest. Controlling ownership interest means ownership of/entitlement to:

- more than 10% of shares or capital or profits of the juridical person, where the juridical person is a company.

- more than 10% of the capital or profits of the juridical person, where the juridical person is a partnership or or who exercises control through other means."

For the purpose of this clause, "Control" shall include the right to control the management or policy decision.

- more than 15% of the property or capital or profits of the juridical person, where the juridical person is an unincorporated association or body of individuals.

(ii) In cases where there exists doubt under clause (i) above as to whether the person with the controlling ownership interest is the beneficial owner or where no natural person exerts control through ownership interests, the identity of the natural person exercising control over the juridical person through other means like through voting rights, agreement, arrangements or in any other manner. (iii) Where no natural person is identified under clauses (i) or (ii) above, the identity of the relevant natural person who holds the position of senior managing official.

B For Investors which is a trust:

The identity of the settler of the trust, the trustee, the protector, the beneficiaries with 10% or more interest in the trust and any other natural person exercising ultimate effective control over the trust through a chain of control or ownership.

C. Exemption in case of listed companies / foreign investors

The client or the owner of the controlling interest is a company listed on a stock exchange or is a majority-owned subsidiary of such a company, there is no need for identification and verification of the identity of any shareholder or beneficial owner of such companies and hence exempted from UBO declaration provided other requisite information is provided. Intermediaries dealing with foreign investors' viz., Foreign Institutional Investors, Sub Accounts and Qualified Foreign Investors, may be guided by the clarifications issued vide SEBI circular CIR/MIRSD/11/2012 dated September 5, 2012 and other circulars issued from time to time, for the purpose of identification of beneficial ownership of the client.

D. KYC requirements

Beneficial Owner(s) / Senior Managing Official (SMO) is/are required to comply with the prescribed KYC process as stipulated by SEBI from time to time with any one of the KRA & submit the same to AMC. KYC acknowledgement proof is to be submitted for all the UBO(s)/SMO(s).

In case of Foreign Nationals, who are not KYC complied, they need to attach the ID proof in English along with the Nationality proof, Address proof again in English. If the documentary proof is in Foreign Language, it should be translated in English and should be attested by Indian Embassy of that country

12. FATCA AND CRS DETAILS (Self Certification) (Refer instruction No. 15)	
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FOR INDIVIDUALS: Please indicate all countries in which you are resident for tax purposes and the associated Tax Reference Numbers below. FOR NON-INDIVIDUALS: Is the 'Entity" a tax resident of any country other than India? (If Yes, please provide country lies in which the entity is a resident for tax purpose and the associated Tax Identi cation No. below)

1 st Applicant	(Sole / Gu	ardian / Non-Individ	lual		2 nd A	oplicant		3 rd Applicant						
Do you have any non-Indian Country(ies) of Birth / Citizenship / Nationality and Tax Residency			Do you have any non- Country(ies) of Birth / Citizenship / Nationalit Tax Residency	ndian ty and	Yes	No	Do you have any non- Country(ies) of Birth / Citizenship / Nationali Tax Residency	Indian ty and	Yes	No				
Country of Birth / Incorporation				Country of Birth				Country of Birth						
Country Citizenship / Nationality				Country Citizenship / Nationality				Country Citizenship / Nationality						
Are you a US specified person?		Yes Please provide	No Tax Payer Id.	Are you a US specified person?	Yes Please provide	□No Tax Payer Id.	Are you a US specified person?	1	Ves Please provide	□No Tax Payer Id.				
For non-Individual inve	stor, in cas	e your country of inc	orporation / Tax re	esidence is US, but you a	re not a sp	ecified US person the	en please mention	exemption code	R	tefer instruction 15(e))			
Individual or Non-Indi if ticked Yes above.	vidual inv	estors fill this section	on	Individual investor	have to fi	ll in below details ir	ı case of joint ap	plicants						
	Country	:		Countr		y:			Country	ntry:				
Tax Residency Status: 1	No.:		Tax Residency Status: 1	No.:			Tax Residency Status: 1	No.:						
	Туре:							Туре:						
	Country	e.		Count		ountry:		Coun		Country:				
Tax Residency Status: 2	No.:					Tax Residency Status: 2				Tax Residency Status: 2 No.:				
	Туре:				Туре:			Туре:						
Country:				Countr	y:			Country:						
Tax Residency Status: 3 No.:			Tax Residency Status: 3	No.:			Tax Residency Status: 3	No.:						
	Туре:				Туре:				Туре:					
Address Type				Address Type				Address Type						

(Address Type: Residential or Business (default) | Residential | Business | Registered Office) (For address mentioned in form | existing address appearing in folio)

In case of applications with POA, the POA holder should fill separate form to provide the above details mandatorily.

13. DECLARATION AND SIGNATURES / THUMB IMPRESSION OF APPLICANT(s) [Refer Instructions 2(f) of KIM]

To The Trustees, Mirae Asset Mutual Fund (The Fund) – (A) Having read and understood the contents of the SID of the Scheme applied for (Including the scheme(s) available during the New Fund Offer period); I/We hereby apply for units of the said such scheme and agree to abide by the terms, conditions, rules and regulations governing the scheme (B) I/We hereby declare that the amount invested in the scheme is through legitimate sources only and does not involve and is not designed for the purpose of the contravention of any provisions of the Income Tax Act, Anti Money Laundering Laws or any other applicable laws enacted by the Government of India from time to time. (C) Signature of the nominee acknowledging receipts of mylour credit will constitute full discharge of liabilities of Mirae Asset Mutual Fund. (D) The information given in / with this application form is true and correct and further agrees to furnish additional information sought by Mirae Asset Investment Managers (Incia) Private Limited (AMC) / Fund and undertake to update the information/details with the AMC / Fund shall have the right to share my information and other details with the regulatory and other intermediaries in case of any dispute regarding the eligibility, validity and authorization of mylour transactions. (E) I/We hereby confirm that I/We have not been offered/communicated any indicative portfolio and/ or any indicative giveld by the Fund/AMC/fits distributor for this investment. I/We have not received nor have been induced by any rebate or gifts, directly or indirectly in making this investment. (C) Applicable to Investors availing the online facility: I/We have read, understood and shall be bound by the terms & conditions of the PIN agreement available on the AMC website for transaction of I/We have by confirm that I/We have not received nor have been induced by any rebate or gifts, directly or indirectly in making this investment. (B) Applicable to Investing in India: I/We confirm that I/We have not received nor have been induced by

Sign of 1 ^{er} Applicant / Guardian /	Sign of 2 [™] Applicant / Guardian /	Sign of 3 rd Applicant / Guardian /
Authorised Signatory / PoA	Authorised Signatory / PoA	Authorised Signatory / PoA

For Lumpsum 'OR' SIP

0	Received Application from Mr. / Ms. / M/s.		as per details below:
	Scheme Name and Plan	Payment Details	Date & Stamp of Collection Centre / ISC
ACNNOWLEDGI	MIRAE ASSET ARBITRAGE FUND	Amount (Rs) Cheque/ DD No.: Dated Bank & Branch	

(FOR INDIVIDUALS & NON-INDIVIDUA

Declaration Form of Non-Profit Organization (NPO)

(Mandatory for Trusts/Society)



Investor Name						
PAN						

I/We hereby confirm that above stated entity / organization is falling under "**Non-profit Organization**" [NPO] which has been constituted for religious or charitable purpose referred to in clause (15) of section 2 of the Income-tax Act, 1961 (43 of 1961), and is registered as a trust or a society under the Societies Registration Act, 1860 (21 of 1860) or any similar State legislation or a Company registered under the section 8 of the companies Act, 2013 (18 of 2013).

We further confirm that we have registered with DARPAN Portal of NITI Aayog as NPO and registration details are as follows:

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If not, please register immediately and confirm with the above information. In absence of receipt of the DARPAN portal registration details, MF/AMC/RTA will be required to register your entity on the said portal and / or report to the relevant authorities as applicable.

I/We hereby confirm that the above stated entity / organization is **NOT** falling under Non-profit organization as defined above or in PMLA Act/Rules thereof.

I/We acknowledge and confirm that the information provided above is true and correct to the best of my/our knowledge and belief. In case any of the above specified information is found to be false or untrue or misleading or misrepresenting, I/We am/are aware that I/We may be liable for it for any fines or consequences as required under the respective statutory requirements and authorize you to deduct such fines/charges under intimation to me/us or collect such fines/charges in any other manner as might be applicable. I/We hereby authorize you [RTA/Fund/AMC/Other participating entities] to disclose, share, rely, remit in any form, mode or manner, all / any of the information provided by me, including all changes, updates to such information as and when provided by me to any of the Mutual Fund, its Sponsor, Asset Management Company, trustees, their employees / RTAs ('the Authorized Parties') or any Indian or foreign governmental or statutory or judicial authorities / agencies including to the Financial Intelligence Unit-India (FIU-IND), the tax / revenue authorities in India or outside India wherever it is legally required and other investigation agencies without any obligation of advising me/us of the same. Further, I/We authorize to share the given information to other SEBI Registered Intermediaries or any other statutory authorities to facilitate single submission / update & for regulatory purposes. I/We also undertake to keep you informed in writing about any changes / modification to the above information in future within 30 days of such changes and undertake to provide any other additional information as may be required at your / Fund's end or by domestic or overseas regulators/ tax authorities.

Signature with relevant seal:

Authorized Signatory	Authorized Signatory	Authorized Signatory
Place:		

Date: / /

Mutual Fund investments are subject to market risks, read all scheme related documents carefully.

Enclosed relevant documentary proof evidencing the above defination.

INSTRUCTIONS

Please read the Key Information Memorandum (KIM) and the terms of the Scheme Information Document (SID) and Statement of Additional Information (SAI) of the Scheme carefully before investing / filing the application form. All investors / applicants are deemed to have read, understood and accepted the terms, subject to which the offers are being made and bind themselves to the terms upon signing the Application Form and tendering payment.

1. General Instructions

(a) The application form should be completed in ENGLISH in BLOCK LETTERS only. CAF complete in all respects, may be submitted at the designated Investor Services Centers (ISC)/Official Point of acceptance. (b) Investors must write the Application Form number/Folio number on the reverse of the cheques and bank drafts accompanying the AF. (c) Please strike out any section that is not applicable. Any cancellation and modification on any of the mandatory information should be countersigned. (d) Please refer to the checklist at the end of these notes to ensure that the requisite details and documents have been provided in order to avoid unnecessary delays and/or rejection of your application. (e) If the Scheme name on the application form and on the payment instrument are different, the application may be processed and units allotted at applicable NAV of the scheme mentioned in the application/transaction slip duly signed by investor(s). (f) Applications incomplete in any respect (other than mentioned above) will be liable to be rejected

Applicant Information

- (a) Name and address shall be given in full without any abbreviations. In case the Investor is an NRI/FII, an overseas address must be provided (mandatory). Alocal address if available should also be mentioned in the CAF.
- (b) Name of the guardian must be mentioned if the investments are being made on behalf of a minor. Guardian of the minor must be either a natural guardian or a Court appointed guardian. Date of birth is mandatory for minors and has to be supported with Age proof
- (c) Name of the contact person, e-mail and telephone number should be mentioned in case of investments by a Company, Body Corporate, Trust, Partnership, Society, Fil and other eligible non-individual applicants. Any change in the status of any Authorized Signatory should be promptly intimated to the AMC. Incomplete application forms are liable to be rejected.

(d) KYC Requirements and Details:

Implementation of Central KYC (CKYC): The Government of India has authorized the Central Registry of Securitization and Asset Reconstruction and Security interest of India (CERSAI, an independent body), to perform the function of Central KYC Records Registry including receiving, storing, safeguarding and retrieving KYC cords in digital form. Non Individual Investors:

CKYC is currently not applicable for Non-Individual Investors. All new Non Individual Investors will continue with the old KRAKYC form. Details of net worth are mandatory for Non Individual applicants. Details of net worth shall be of a date which is within one year of the application. Non Individual Applicants, not being a company that is listed on any recognized stock exchange or is a subsidiary of such listed or is controlled by such listed Company, are also required to fill in details of ultimate beneficial ownership in section 11(a) and 11(b) of the common application Form. Individual Investors:

(i) New individual investors who have never done KYC under KRA (KYC Registration Agency) regime and whose KYC

(i) New individual investors who have never done KYC under KRA (KYC Registration Agency) regime and whose KYC is not registered or verified in the KRAsystem will be required to fill the new CKYC form while investing with the Fund.
(ii) If any new individual investor uses the old KRAKYC form, then such investor will be required to either fill the new CKYC form or provide the missing / a d it ion a lin formation using the Supplementary CKYC platform can invest in schemes of the Fund quoting their designated KIN issued by CKYC on the application form (14 digits for inormal accounts and 15 digits for simplified and small accounts). Further, in case the investor's PAN is not updated in CKYC system, a self-certified copy of PAN Card shall be mandatory. Further, the AMC/ Mutual Fund shall use the KIN of the investors to download the KYC information from CKYC and pake it is in any schemes of the reguired. The CKYC form and Supplementary CKYC form for individual investors who have done the SEBI KYC (KYC thur 5 KRAs, CVL, DML), DOTEX, KARYY & CAMS). They can continue to invest as it is in any schemes of any Mutual Fund, Existing Investors who winkes to onboard themselves on the CKYC platform will be reguired. The CKYC form shows the sone and the KYC integration be used across.

(e) Rejection: In case of non-compliance of any C-KYC requirements, Applications shall liable to be rejected without any intimation to the applicants. Any Change in Address for all KYC compliant Investors has to be routed through RRA and that direct application to AMC will be not processed/rejected. In case if the applications are rejected after detailed sorutiny and verification, either at the collection point itself or subsequently by the back office of the registrars for any reason, investors can contact the nearest Investor Service Centre or write to the Registrars, Ms. Karvy Fintech Pvt. Ltd. or send an email to customercare@miraeasset.com.

(f) (i) All the applicants must sign in original on the application form. Signatures should be in English or in any Indian language. Thumb impressions should be from the left hand for males and the right hand for females and in all cases be attested by a Magistrate, Notary Public or Special Executive Magistrate. In case of an HUF, the Karta will sign on behalf the UHT. of the HUF

(ii) In case the application is under a power of Attorney (POA), a duly certified copy thereof duly notarized should be submitted with the application. The POA document should contain the signature of both the applicant and the constituted Attorney.

(iii) Applications made by a Limited Company or a Body Corporate or a registered Society or Trust, should be accompanied by a copy of the relevant resolution or authority to make the application, as the case may be, along with a certified copy of the MOA and AOA or Trust deed/Bye laws/Partnership deed, whichever is applicable. Refer to document check list.

3. Bank Account Details:

It is mandatory for the Sole/First Applicant to mention his/her bank account number in the CAF. CAF received without the relevant bank details will be rejected. The AMC may provide direct credit facility with the banks as may be available from time to time. Investor(s) are requested to note that for all Change of Bank details (COB) the investors must submit in original any one of the following documents of the new bank account:

a. Cancelled original cheque of the new bank mandate with first unit holder name and bank account number printed on a. Cancelled onginal chedue of the new bank mandate with inits unit holder name and bank account humber printed on the face of the cheque. b. Self-attested copy of bank statement, c. Bank passbook with current entries not older than 3 months. d. Bank Letter duly signed by branch manager/authorized personnel. The AMC may also collect proof of Old Bank details while effecting the Change of Bank "Mandate. There shall be a cooling period of 10 calendar days for validation and registration of new bank account. In case of receipt of redemption request during this cooling period, the validation of new Bank mandate and dispatch of redemption proceeds shall be completed within 10 working days to the new bank account; however, the AMC reserves the right to process the redemption request juit be advantation for the archeristic of the authorized period. the credentials of the new bank mandate cannot be authenticated. Any COB accompanied with any other transaction is liable to be rejected.

Itable to be rejected. If unit holder(s) provide a new and unregistered bank mandate or a change of bank mandate request with specific redemption/Payout of Income Distribution cum capital withdrawal option payment request (with or without necessary supporting documents) such bank account may not be considered for payment or redemption/Payout of Income Distribution proceeds, or the Fund may withheld the payment for upto 10 calendar days to ensure validation of new bank mandate mentioned.
b. Indian Financial System Code (IFSC): Investors are requested to mention the IFSC while submitting any bank details updation request to help facilitate the payouts seamlessly through the electronic route. IFSC is an 11 digit number given by the banks on the cheques.

4. Multiple Bank Accounts Registration Facility:

The unitholder may register more than one bank account through the 'Multiple Bank Accounts Registration Facility'', to receive redemption/Payout of Income Distribution cum capital withdrawal option proceeds. The unitholder may choose to receive the proceeds in any of the bank accounts, the details of which will be registered under the folio. For the purpose of registration of bank account(s), the investors must submit in original any one of the following documents of the new bank account

(a) Cancelled original cheque of the new bank mandate with first unit holder name and bank account number printed on (a) canceled organization degle of the new oarhier and addition that and a different name and bala social training in the form of the cheque. (b) Self-attested copy of bank statement. (c) Bank passbook with current entries not older than 3 months. (d) Bank Letter duly signed by Branch Manager/Authorized personnel. If photocopies of the above stated documents are submitted, investor must produce the original for verification. If the original shall be returned to the investor over the counter upon verification. If the originals are not produced for verification, then the photocopies submitted should be attested in original by the Branch Manager or Authorised personnel of the Bank.

5. Direct Credit of Redemption/Payout of Income Distribution cum capital withdrawal option Proceeds:

Investors can opt for direct credit of the redemption proceeds to their bank accounts (Direct Credit / RTGS / NEFT). The AMC / MF reserve the right to use any other mode of payment as deemed appropriate, however the preferred mode will always be NEFT/RTGS.

6. Investment Details:

a) Resident Investors may make payment by cheque payable locally in the city where the application form is submitted at the local Mirae Asset Mutual Fund (MAMF)/AMC office or Authorised Collection Centre(s).

at the local winder SSet Mutual rund (WMW) / MWU office of Autorised Collection Centre(s). b) Please mention the application serial number on the reverse of the cheque/demand draft tendered with the CAF. The cheque should be drawn in favor of respective scheme name. Non MICR/ Outstation Cheques/Money Orders/Post Dated Cheques or Cash is not permitted. Investors residing in Centres, where the Investors Service Centres (ISCs)/Authorised Collection Centre(s) of MAMF are not located, are requested to make payment by demand drafts payable at the Centre where the application is to be lodged. D.D. charges would be borne by the AMC only for the investors residing at places which are not covered by our offices/authorised centres. The maximum charges so borne

by the AMC would be restricted to limits as prescribed by State Bank of India. Please refer SAI for complete details on D.D. charges

- In case the payment is made through Indian Rupee draft purchased abroad from FCNR or NRE A/C, Account Debit c) In case the payment is indee intogen index in topped that purchased advantage advantage of the control of the c
- Account debit Letter/certificate from the bankers. FIRC certificate is required to be submitted evidencing source of funds through Non Domestic Account. The AMC and the Registrar may ascertain the repatriation status purely based on the details provided under Investment and Payment details and will not be liable for any incorrect information provided by the applicant(s). In case the source of funds through Non Domestic Account is not validated/provided, AMC will not be in a position to repatriate redemption proceeds. Applicants should indicate the Option (Payout of Income Distribution cum capital withdrawal option/Growth) for which the application is made. In absence of information the request would be processed under the default option as mentioned in the SID/SAI of the relevant scheme. Direct Investments, places mention "Direct" in the column "Broker (Agent Code".
- d)

For Direct Investments, please mention "Direct" in the column "Broker / Agent Code" e)

Third Party Cheque/Funds Transfer will not be allowed for Investment subscriptions except in the following cases:

 Payment for investment by means of Cheque, Demand Draft or any other mode shall be accepted from the bank account of the minor, parent or legal guardian of the minor, or from a joint account of the minor with parent or legal quardian

Payment by employer on behalf of employee under Systematic Investment Plan (SIP) facility through payroll deductions

Custodian on behalf of an FII or a Client

Consolutation behavior and information of the provided and the provided

g) Physical Copies: Investors who requires physicals copies kindly reach us through email id:customercare@miraeasset.com Toll Free Number : 1800-2090-777.

7. Communication:

The investor whose transaction has been accepted by the MAMF shall receive a confirmation by way of email and/or SMS within 5 Business Days from the date of receipt of transaction request, same will be sent to the Unit holders registered e-mail address and/or mobile number. Thereafter, a Consolidated Account Statement ("CAS") shall be issued in line with the

following procedure:

1. Consolidation of account statement shall be done on the basis of PAN. In case of multiple holding, it shall be PAN of the first holder and pattern of holding. 2. The CAS shall be generated on a monthly basis and shall be issued on or before 10th of the immediately succeeding

month to the unit holder(s) in whose folio(s) transaction(s) has/have taken place during the month. 3. In case there is no transaction in any of the mutual fund folios then CAS detailing holding of investments across all

schemes of all Mutual Funds will be issued on half yearly basis [at the end of every six months (i.e. September/March)] Investors having MF investments and holding securities in Demat account shall receive a Consolidated Account Statement containing details of transactions across all Mutual Fund schemes and securities from the Depository by email / physical mode

5. Annual Reports or other information etc.. may be sent to unit holders by email. Investors can choose to receive e mail communication from us in lieu of printed documents, when a unit holder has communicated his/her email address main communication from us in lieu of printed occuments, when a unit house has communication instrine remail address and has provided consent for sending communication only via e-mail. Investor(s) who have provided their email address in the application form or any subsequent communication in any of the folio belonging to the investor, Electronic Mail (email) shall be treated as a default mode for sending various statutory communications including Abridged Annual Report to the investor. However, the unit holder always has the right to request a physical copy of any statutory communication and the AMC will arrange for the same to be sent to the unit holder. The AMC/Mutual Fund/Registrars & Transfer agents are not responsible for the email not reaching the investor and for all consequences thereof. The investor needs to intimate the Fund/its transfer agents about any changes in the email address from time to time.

Online Transactions/Personal Identification Number (PIN): 8.

This facility (transact@ease) enables Investors to transact on the website of the Fund which is https://transact.miraeassetmf.co.in/investor. This facility can be availed by all KYC Compliant Investors (Fresh/Existing) using their e-mail Address and Mobile Number. The Units can be transacted only in the Physical mode.

Nomination Details: The Nomination Details will be as is Registered with Depository Participant for this application 10. Waiver of Entry Load and Payment of commission and load structure:

No entry load will be charged by the Scheme to the investor. The upfront commission on investment made by the investor, if any, shall be paid to the ARN Holder directly by the investor, based on the investor's assessment of various the construction is treated as a direct application:

1. Broker code, if already printed on the forms must be struck off and countersigned by the investors.

 Ensure that the broker code block in the form is not left blank (i.e. it should be either struck off or indicated 'direct' or NA). However, if the investor does not specify the application as "Direct" or otherwise, then the AMC treats such applications as

"Direct" in the interest of the investors 11. Transaction charges (TC):

In case of applications of Rs. 10,000 & more and routed through a distributor who has opted for such TC, are deducted from the subscription may be applicable from time to time: (I) Rs. 150/- from a first time mutual fund investors applicable from time to time: (I) Rs. 150/- from a first time mutual fund investors application (An investor who invests for the first time ever in any mutual fund intervestors application); (ii) Rs. 100/- from an existing mutual fund investors application. Units will be issued against the balance amount. Please tick the appropriate box as applicable to you. If no option is ticked, the mutual fund reserves a right to check with in opportation of a second and a second and

12. Employee Unique Identification Number (EUIN):

Employee onduct to function number (curv): In order to assist in in addressing any instance of mis-selling at any point of time, it is regulatory for every employee/relationship manager/sales person of the distributor/broker (interacting with the investor for the sale of Mutual Fund products) of mutual fund products to quote the EUIN (for non-advisory transactions ('execution only') & advisory transactions) obtained from AMFI in the CAF. The EUIN is a 7 digit unique alpha numeric number (one adhabet and six numerals). Individual ARN holders including senior citizens are also required to obtain and quote EUIN in the Application Form. Hence, if your investments are routed through a distributor please ensure that the EUIN is correctly filled up in the Application Form. It is further clarified that a mere quoting of EUIN will not give an "advisory" character to the transaction. However, in case of any exceptional cases where there is no interaction by the employee/sales person/relationship manager of the distibutor/sub broker with respect to

the transaction, AMCs shall take the declaration separately signed by the investor, as mentioned on the top of the application form(s).

application form(s).
13. The US Department of the Treasury and the US Internal Revenue Service (IRS) has introduced the Foreign Account Tax Compliance Act (FATCA), effective July 01, 2014. The purpose of FATCA is to report financial assets owned by United States persons to the US tax authorities. Accordingly, AMC may be required to report information relating to the folios of the investors to the authority established by the Government of India for its submission to US authorities. Accordingly, AMC may be required to report information relating to the folios of the investors to the authority established by the Government of Activities in the CAL feature. relating to the folios of the investors to the authority established by the Government of India for its submission to US authorities. AMC reserves the right to seek additional information / documents sought for FATCA details in the CAF for the disclosure and reporting of any tax related information obtained or held by the fund to any local or foreign regulatory or tax authority ("Tax Authority"). Upon request by the fund, investor hereby agrees to provide necessary information and permits the fund to disclose and report tax and account specific financial information to any local or foreign Tax authority. The potential consequences for failure to comply with requests for tax information disclosure include, but are not limited to: (a) Fund has the right to carry out actions which are necessary to comply with the local or foreign tax reporting obligations; (b) Fund has the right to pay relevant taxes to the appropriate tax authority; (d) Fund has the right to refuse to provide certain services; and (e) Fund has the discretion to close investor account; The investor agrees to inform. or respond to any request form the fund. if there are any changes to tax information previously provided. inform, or respond to any request from, the fund, if there are any changes to tax information previously provided

INSTRUCTIONS

14. All Investors including non-individual investors, shall be required to submit a mandatory declaration form along with theinvestment request. The indicia's are to identify a US Person as defined under the Laws of the United States of America The absence of completed documentations may prevent us from accepting the investment and may require us to redeem existing investments in case the same is mandated by the regulatory authorities.

The identification of US person will be based on one or more of the following US indicia:- Identification of the investor as US citizen or resident (1) US is the place of birth or country of incorporation (2) Having US telephone number (3) Having any residence / mailing address / 12/a address / hold mail address / PO Box address in the US (4) Having Standing instruction to transfer funds to an account maintained in USA (5) Being POA holder based out of US or having US residence / citizenship (6) Paying tax in the US (7) Having Identification Number or any identification that indicates US residence / citizenship (6) Having US beneficiary owners /shareholders (9) The Director / Promotor / Authorised signatory / POA holder of nonindividual investor is based out of US or holds US residence / citizenship.

15. Details under FATCA & CRS

As a part of regulatory process, the AMC may seek additional personal, tax and beneficial owner information and certain certifications and documentation from all our account holders and will report to tax authorities / appointed agencies/institutions such as withholding agents should there be any change in any information provided by you, please ensure you advise us promptly, i.e., within 30 days.

The investor may receive more than one request for information if you have multiple relationships with the AMC or its group entities. Kindly respond to all our requests, even if you have already supplied any previously requested information. For any queries about your tax residency, kindly contact your tax advisor. If you are a US citizen or resident or greencard holder, please include United States in the foreign country information field along with your US Tax Identification Number.

#It is mandatory to supply a TIN or functional equivalent if the country in which you are tax resident issues such identifiers. If no TIN is yet available or has not yet been issued, please provide an explanation and attach this to the form.

Financial Institution (FI): The term FI means any financial institution that is a Depository Institution, Custodial Institution, Investment Entity or Specified Insurance company, as defined under FATCAguidelines.

Non-Financial Entity (NFE): Types of NFEs that are regarded as excluded NFE are: a. Publicly traded company (listed company): A company is publicly traded if its stock are regularly traded on one or more established securities markets (Established securities market means an exchange that is officially recognized and supervised by a governmental authority in which the securities market is located and that has a meaningful annual value of shares traded on the exchange).

b. Related entity of a publicly traded company: The NFE is a related entity of an entity of which is regularly traded on an established securities market.

c. Active NFE : (is any one of the following):

Code Sub-category

- Less than 50 percent of the NFE's gross income for the preceding financial year is passive income and less than 50 percent of the assets held by the NFE during the preceding financial year are assets that produce or are held for the production of passive income; 01
- The NFE is a Governmental Entity, an International Organization, a Central Bank , or an entity wholly owned by one or more of the foregoing; 02
- 03 Substantially all of the activities of the NFE consist of holding (in whole or in part) the outstanding stock of, or Substantially and the authors in the NL consist of holdinaries that engage in trades or businesses other than the business of a Financial Institution, except that an entity shall not qualify for this status if the entity functions as an investment fund, such as a private equity fund, venture capital fund, leveraged buyout fund, or any investment vehicle whose purpose is to acquire of fund companies and then hold interests in those companies as capital assets for investment purposes;
- The NFE is not yet operating a business and has no prior operating history, but is investing capital into asse with the intent to operate a business other than that of a Financial Institution, provided that the NFE shall no qualify for this exception after the date that is 24 months after the date of the initial organization of the NFE; 04 The NFE was not a Financial Institution in the past five years, and is in the process of liquidating its assets or 05
- is reorganizing with the intent to continue or recommence operations in a business other than that of a Financial Institution; 06
- The NFE primarily engages in financing and hedging transactions with, or for, Related Entities that are not Financial Institutions, and does not provide financing or hedging services to any Entity that is not a Related Entity, provided that the group of any such Related Entities is primarily engaged in a business other than that of a Financial Institution;
- Any NFE that fulfills all of the following requirements: (1) It is established and operated in India exclusively for religious, charitable, scientific, artistic, cultural, athletic, or educational purposes; or It is established and operated in India and it is a professional organization, business league, chamber of commerce, labor organization, agricultural or horticultural organization, civic league or an organization operated exclusively for the promotion of social welfare; (2) It is exempt from income tax in India; (3) It has no shareholders or members who have a proprietary or beneficial interest in its income or assets; The applicable laws of the NFE's country or territory of residence or the NFE's formation documents do not permit any income or assets of the NFE to be distributed to, or applied for the benefit of, a private person or non-charitable Entity other thar pursuant to the conduct of the NFE's charitable activities, or as payment of reasonable compensation for services rendered, or as payment representing the fair market value of property which the NFE has purchased; and The applicable laws of the NFE's louidation or dissolution, all of its assets be distributed to a governmental entity or other non-profit organization, or escheat to the government of the NFE's country or ferritory of residence or any political subdivision thereof. Explanation: For the purpose of this sub-clause, the following shall be treated as fulfilling the criteria provided in the said sub-clause, namely:- (1) an Investor Protection Fund Ferred to in Cause (23EA): (2) a Credit Guarantee Fund Trust for Small Industries. 07 Any NFE that fulfills all of the following requirements: (1) It is established and operated in India exclusively for Investor Protection Fund referred to in clause (23EA); (2) a Credit Guarantee Fund Trust for Small Industries referred to in clause 23EB; and (3) an Investor Protection Fund referred to in clause (23EC), of section 10 of the Act

The stock of the entity is regularly traded on an established securities market or the non financial entity is a related entity of the entity, the stock of which is regularly traded on an established securities market. 08

d. Document Type: Please mention the Code or Document as: "A" Passport; "B" Election ID Card; "C" PAN CARD; "D" Driving License; "E" NREGA Job Card.

e. Exemption code for U.S. person (Refer 114F(9) of Income Tax Rules, 1962 for details.

e. Exemption code to U.S. person (Refer 114-(9) of income tax Rules, 1902 for details. (i) An organization exempt from tax under section 501(a) or any individual retirement plan as defined in section 7701(a)(37). (ii) The United States or any of their political subdivision or instrumentalities. (iii) A state, the District of Columbai, a possession of the United States or any of their political subdivision or instrumentalities. (iii) A state, the District of Columbai, a is regularly traded on one or more established securities markets, as described in Reg. section 1.1472-1(c)(1)(i). (v) A corporation that is a member of the same expanded affiliated group as a corporation described in Reg. section 1.1472-Column that is a memory one same expanded animate group as a corporation resolution resolution in the same expanded animate group as a corporation resolution in the same expanded animate group as a corporation resolution in the same expansion of the corporation is the corporation in the same expansion of the corporation is the corporation in the corporation is the corporation in the corporation is the corporation in the corporation is the corporation is the corporation is the corporation in the corporation is the corpor defined in section 581. (xi) Abroker.

(xii) A trust exempt from tax under section 664 or described in section 4947(a)(1). (xiii) A tax exempt trust under a section 403(b) plan or section 457(g) plan.

Passive Income includes: Payout of Income Distribution cum capital withdrawal options; Interest; Income equivalent to Passive income includes: Payoutor income bistribution cum capital windrawaroptions, interest, encome equivalent to interest, Rents and royalties, other than rents and royalties derived in the active conduct of a business conducted, at least in part, by employees of the NFE; Annuities; excess of gains over losses from the sale or exchange of financial assets that gives rise to passive income; excess of gains over losses from transactions (including futures, forwards, options and similar transactions) in any financial assets; excess of foreign currency gains over foreign currency losses; ket income from swaps; Amounts received under cash value insurance contracts. (But passive income will not include, in case of a non-financial entity that regularly acts as a dealer in financial assets, any income from any transaction entered into in the ordinary course of such dealer's business as such a dealer.)

Passive NFE means: any non-financial entity which is not an active non-financial entity including a publicly traded corporation or related entity of a publicly traded company; or an investment entity defined in clause (b) of these instructions a withholding foreign partnership or withholding foreign trust; (Note: Foreign persons having controlling interest in a passive NFE are liable to be reported for tax information compliance purposes).

Direct reporting NFE means: a NFFE that elects to report information about its direct or indirect substantial U.S. owners to the IRS

Owner documented FFI: An FFI meets the following requirements: The FFI is an FFI solely because it is an Owner documented FFI: An FFI meets the following requirements: The FFI is an FFI solely because it is an investment entity; The FFI is not owned by or related to any FFI that is a depository institution, custodial institution, or specified insurance company; The FFI does not maintain a financial account for any non participating FFI; The FFI provides the designated withholding agent with all of the documentation and agrees to notify the withholding agent if there is a change in circumstances; and The designated withholding agent agrees to report to the IRS (or, in the case of a reporting Model 1 IGA, to the relevant foreign government or agency thereof) all of the information described in or (as appropriate) with respect to any specified U.S. persons and (2). Notwithstanding the previous sentence, the designated withholding agent is not required to report information with respect to an indirect owner of the FFI that holds its interest through a participating FFI, a deemedcompliant FFI (other than an owner-documented FFI), an entity that is a U.S. person, an exempt beneficial owner, or an excepted NFE.

16. With effect from January 1, 2014, as per the US Securities Act of 1933, United States Person (U.S. Person), corporations and other entities organized under the applicable laws of the United States (U.S.) and Residents of Canada as defined under the applicable laws of Canada should not invest in units of any of the Schemes of the Fund and should note the following:

No fresh purchases / additional purchases/switches in any Schemes of the Fund would be allowed. However, existing Unit Holder(s) will be allowed to redeem their units from the Schemes of the Fund. If an existing Unit Holder(s) subsequently becomes a U.S. Person or Resident of Canada, then such Unit Holder(s) will not be able to purchase any additional Units in any of the Scheme of the Fund. • For transaction from Stock Exchange platform, while transferring units from the broker account to investor account, if the

investor has U.S./Canadian address then the transactions would be rejected.

In case the AMC/MAMF subsequently identifies that the subscription amount is received from U.S. Person(s) or

Resident(s) of Canada, in that case the AMC/Fund at its discretion shall redeem all the units held by such person from the Scheme of the Fund at applicable NetAsset Value.

17. Ultimate Beneficial Owner (UBO)

17. Ultimate Beneficial Owner (UBO) Investors (other than Individuals) are required to provide details of UBO(s) and submit POI (viz. PAN with photograph or any other acceptable POI prescribed in common KYC form) of UBO(s). Non-individual applicants/investors are mandated to provide the details on UBO(s') by filling up the declaration form for UBO. Providing information about beneficial ownership will be applicable to the subscriptions received from all categories of investors except Individuals and a Company listed on a stock exchange or is a majority owned subsidiary of such a Company. In case of any change in the beneficial ownership, the investor should immediately initiate AMC / its Registrar / KRA, as may be applicable, about such changes. Please contact the nearest ISC of MAMF or log on to our website www.miraeassetmf.co.in for the Declaration Form.

A Ultimate Beneficial Owner means:

I. For Investor other than Trust: A 'Natural Person', who, whether acting alone or together, or through one or more juridical

person, exercises control through ownership or who utilized to controlling ownership interest. Controlling ownership interest means ownership of / entitlements to: (i) more than 10% of shares or capital or profits of the juridical person, where the juridical person is a company; (ii) more than 15% of the capital or profits of the juridical person, where the juridical person is a partnership; or (iii) more than 15% of the property or capital or profits of the juridical person, where the juridical person is an unincorporated association or body of individuals.

In cases where there exists doubt as to whether the person with the controlling ownership interest is the beneficial owner or where no natural person exerts control through ownership interests, the identity details should be provided of the natural person who is exercising control over the juridical person through other means (i.e. control exercised through voting rights agreement, arrangements or in any other manner). However, where no natural person is identified, the identity of the relevant

natural person who holds the position of senior managing official should be provided. **ii. For Trust:** The settler of the trust, the trustees, the protector, the beneficiaries with 10% or more of interest in the trust and any other natural person exercising ultimate effective control over the trust through a chain of control or wnership. **B Applicability for foreign investors:** The identification of beneficial ownership in case of Foreign Institutional Investors (Fils), their sub-accounts and Multilateral Funding Agencies / Bodies Corporate incorporated outside India with the permission of Government of India / Reserve Bank of India may be guided by the clarifications issued vide SEBI circular CIR/MIRSD/11/2012 dated September 5, 2012.

CURVINISO/11/2012 dated September 3, 2012. C UBO Code Description: UBO-1: Controlling ownership interest of more than 10% of shares or capital or profits of the juridical person [Investor], where the juridical person is a company. UBO-2: Controlling ownership interest of more than 15% of the capital or profits of the juridical person [Investor], where the juridical person is a partnership. UBO-3: Controlling ownership interest of more than 15% of the property or capital or profits of the juridical person [Investor], where the juridical person is an unincorporated association or body of individuals. UBO-4: Natural person exercising control over the juridical person is an unincorporated association or body of individuals. UBO-4: Natural person exercising control over the juridical person is an unnoceporated association of body of individuals. UBO-4: Natural person exercising control over the juncical person through other means exercised through voting rights, agreement, arrangements or in any other manner [In cases where there exists doubt under UBO-1 to UBO-3 above as to whether the person with the controlling ownership interest is the beneficial owner or where no natural person exerts control through ownership interest]. UBO-5: Natural person who holds the position of senior managing official [In case no natural person cannot be identified as above]. UBO-6: The settlor(s) of the trust. UBO-7: Trustee(s) of the Trust. UBO-8: The Protector(s) of the Trust [If applicable]. UBO-9: The beneficiaries with 10% or more interest in the trust if they are natural person(s). UBO-10: Natural person(s) exercising ultimate effective control over the Trust through a chain of control or ownership.

D. PAN and KYC of all the beneficiaries of UBO is mandatory to accept the transaction

18. Investors may please note that the primary holders own email address and mobile number should be provided for speed and ease of communication in a convenient and cost effective manner, and to help prevent fraudulent transactions. In case of any change in the information such as address, telephone number, citizenship, etc., investors are requested to bring this to the notice of the fund and submit the FATCA declaration form (available on requested to bring this www.miraeassetmf.co.in).

19. LEI (Legal Entity Identifier) Code : The Legal Entity Identifier (LEI) is a global reference number that uniquely identifies every legal entity or structure that is party to a financial transaction, in any jurisdiction. The Reserve Bank of India has mandated the LEI Number for all payment transactions of value ISO crore and above undertaken by entities (nonindividuals) for Real Time Gross Settlement (RTGS) and National Electronic Funds Transfer (NEFT) 20. Instruction for Nomination:

A. The nomination can be made only by individuals applying for/holding units on their own behalf singly or jointly

B.Non-individuals including a Society, Trust, Body Corporate, Partnership Firm, Karta of Hindu undivided family, a Power of Attorney holder and/or Guardian of Minor unitholder cannot nominate. C.Nomination is not allowed in a folio of a Minor unitholder.

Diff the units are held jointly (i.e., in case of multiple unitholders in the folio), all joint holders need to sign the Nomination Form (even if the mode of holding/operation is on "Anyone or Survivor" basis).

E.A minor may be nominated. In that event, the name and address of the Guardian of the minor nominee needs to be

provided. P. Nomination can also be in favour of the Central Government, State Government, a local authority, any person designated by virtue of his office or a religious or charitable trust.

G.The Nominee shall not be a trust (other than a religious or charitable trust), society, body corporate, partnership firm, Karta of Hindu Undivided Family or a Power of Attorney holder.

H.A.Non-Resident Indian may be nominated subject to the applicable exchange control regulations.

I.Multiple Nominees: Nomination can be made in favour of multiple nominees, subject to a maximum of three nominees. In Limulative Normination can be made in layour of multiple norminees, subject to a maximum of intere norminees. case of multiple norminees, the percentage of the allocation/share should be in whole numbers without any decimals, adding up to a total of 100%. If the total percentage of allocation amongst multiple norminees does not add up to 100%, the normination request shall be treated as invalid and rejected. If the percentage of allocation/share for each of the norminee is not mentioned, the allocation /claim settlement shall be made equally amongst all the norminees. J. Every new nomination for a folio/account shall overwrite the existing nomination, if any.

K.Nomination made by a unit holder shall be applicable for units held in all the schemes under the respective folio / account. L.Nomination shall stand rescinded upon the transfer of units.

M.Death of Nominee/s: In the event of the nominee(s) pre-deceasing the unitholder(s), the unitholder/sis/are advised to make a fresh nomination soon after the demise of the nominee. The nomination will automatically stand cancelled in the event of the nominee(s) pre-deceasing the unitholder(s). In case of multiple nominations, if any of the nominee is deceased at the time of death claim settlement, the said nominee's share will be distributed equally amongst the surviving nominees. N. Transmission of units in favour of a Nominee shall be valid discharge by the asset management company/ Mutual Fund / Trustees against the legal heir(s).

O.Cancellation of Nomination: Request for cancellation of Nomination made can be made only by the unitholders. The romination shall stand rescinded on cancellation of the nomination and the AMC shall not be under any obligation to transfer / transmit the units in favour of the Nominee.

P.Unitholders who do not wish to nominate are required to confirm the same by indicating their choice in the space provided in the nomination form.

Q. The nomination will be registered only when this form is completed in all respects to the satisfaction of the AMC.

R.In respect of folios/accounts where the Nomination has been registered, the AMC will not entertain any request for transmission / claim settlement from any person other than the registered nominee(s), unless so directed by any competent court

SIP ENROLMENT CUM ONE TIME DEBIT MANDATE (OTM) FORM with Goal SIP & Top Facility Registration Cum Mandate Form For NACH

NACH MANDATE INSTRUCTION FORM (Refer guidelines / Instruction over leaf before filling)

Registration Cum Mandate Form For NACH/Direct Debit Application No.:



	ame & Broker Code/ ARN/RIA Code	Sub Broker / Agent ARN Code	Sub Agent Code	EUIN*	Internal Code for AMC	ISC Date Time Stamp Reference No.
		Agent Anti Code				Reference NO.
EUIN Declarat	tion: Declaration for "Execution Or been intentionally left blank by me/	nly" Transaction (where Employee Un	ique Identification Number-I	UIN* box is left blank). Plea	se refer instruction for complete deta	ils on EUIN. I/We hereby confirm that the
the advice of in data feed/portf	n-appropriateness, if any, provided folio holdings/NAV etc. in respect of	by the employee/relationship manage my/our investments under Direct Plar	er/sales person of the distribution of all Schemes managed by	utor/sub broker. RIA/Declara	ation: "I/We hereby give you my/our of SEBI-Registered Investment Advise	ils on EUIN. I/We hereby confirm that the distributor/sub broker or notwithstanding consent to share/provide the transactions rr/RIA".
	1 [#] Applicant / Guardian / Authorised S		ure of 2 ^{ed} Applicant / Guardian	Authorised Signatory / PoA		Guardian / Authorised Signatory / PoA
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	** Unit Holder (as per PAN card)				Folio No.	
	· · · ·	Please check the Minimum	Amount Criteria fo	r the scheme applie	d for. [Refer General Instr	
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transaction is d	gree to ablde by the terms, condition delayed or not effected for reasons of s responsible. I/We also undertake to	ons, rules and regulations governing to of incomplete or incorrect or any other o keep sufficient funds in my bank acco	operational reasons, I/We w	ould not hold Mirae Asset Inv	estment Managers (India) Private Lir	(Auto Debit). I/We also agree that if the nited, their appointed service providers o me/us all the commissions (in the form led to me/us". "I/We have not made any
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GENERAL GUIDELINES

This One Time Mandate (OTM) registration form will be submitted through National Automated Clearing House (NACH).

 The SIP enrolment form has to be filled along with OTM (One Time Mandate) details. The installment start date should be minimum of 25 calendar days but shall not be later than 100 calendar days from date of application submission date 2. The standalone SIP reci

Start date should be finitinited 25 calefular days but shart for be later than 100 calefular days from date of application submission date
 The standalone SIP registration form has to be filled where an One Time mandate (OTM) is already registered in a folio for a bank account, and there is no need of a separate cheque to be given along with the SIP Registration Form.
 In case the One Time mandate (OTM is successfully registered, Please submit sip registration form not less than 10 Calendar days before the first installment date as New SIP registration will take 10 Calendar days. The first debit may happen any time thereafter, based on the dates opted by the Unit holder(s). The installment start date shall not be later than 100 calendar days from date of application submission date. Applicant acknowledges that incomplete or ambiguous forms in any respect will not be processed & AMC reserves the right to reject such applications.
 Investor shall have the option of choosing any date of the month as the SIP date from 01st to 28th except the last three calendar dates 29th, 30th and 31st. If SIP debit date is not mentioned default date would be considered as 5th of every month. Even If the Investor selects or mentions the SIP dates as 29th, 30th or 31st, the default SIP date would be considered as 05th of every month only.
 Unit holder(s) should mandatorily provide their mobile number and email id on the mandate form. Where the mobile number and email id networks and subting in the folio, he details provided on the mandate will not be updated in the folio; however, the AMC reserves the right to regent to the mobile number and email id due where some sub as already existing in the folio, the details provided on the mandate and the registered under the folio.
 Investor/Unitholder(s) should submit original Cancelled Cheque (or a copy) along with mandate form with

would be, thereafter, sent to the mobile number and email id registered under the folio.
6. Investor/Unitholder(s) should submit original Cancelled Cheque (or a copy) along with mandate form with name and account number pre-printed of the bank account to be registered or bank account verification letter for registration of the mandate failing which registration may not be accepted. The Unitholder(s) cheque/bank account details are subject to third party verification.
7. Investors are required to ensure adequate funds in their bank account on the date designated SIP date.
Mirae Asset Mutual Fund (MAMF) through its service provider will endeavor to debit the investor bank account on or after the said date.
8. Applicant account account durate funds and the liable in any manner what over for.

account on or after the said date. 8. Applicant acknowledges that Mirae Asset Mutual Fund will not be liable in any manner whatsoever, for any transaction failures due to rejection by the investor's bank/branch, which is due to technical reasons or due to delay in registration of the NACH mandate. Further, Applicant is aware that he/she has to sufficiently keep its bank account funded for stupulated SIP debits. Further, the Applicant also confirms that it will not hold Mirae Asset Mutual Fund and/or its service providers responsible if the transaction is delayed or not effected by its Bank or if debited in advance on an Pre-Debit note or after the specific date due to various reasons or for any bank charges debited by its banker in its designated account towards NACH Registration /Cancellation/Rejections, NACH Debit/Auto Debit/Local Holidays.

Viate Asset Mutual Fund registrations in the designated account of the provided and the

13. In case any payment instruction for SIP installment is dishonored by the Bankers for three consecutive times for the reason Account Closed or In sufficient balance or any technical reasons as provided by NPCI /

Aggregator, Sip will be terminated. 14. The facility will be automatically terminated upon receipt of intimation of death of the Unitholder. Further, fresh registrations will be required for all Minor Investors who have turned Major, along with fresh bank account credentials. MAMF reserves the right to reject all such transactions through old registrations. 15. Each SIP installment will be treated as a fresh transaction and shall be subject to applicable exit load

15. Each SIP installment will be treated as a fresh transaction and shall be subject to applicable exit load structure prevailing on the date of each investment.
16. Third Party Cheque / Funds Transfer: The payment towards investment can happen only from the bank account of 1st holder and therefore the 1st holder needs to be one of the holder in the bank account. As per the recent guidelines, Mirae Asset Mutual Fund has decided to restrict the acceptance of Third Party payments. Accordingly Third Party payment instruments for subscriptions / investments shall not be accepted by the AMC except in the following cases:
(i) Payment by employer on behalf of employee under Systematic Investment Plan (SIP) facility through payment by employer on behalf of employee.

navroll deductions

Custodian on behalf of an FII or a Client.

(iii) Payment by the AMC to an empanelled Distributor on account of commission/incentive etc. in the form of the Mutual Fund units of the schemes managed by the AMC through SIP or lump sum / one-time subscription.

(iv) Payment by a Corporate to its Agent/ Distributor/ Dealer (similar arrangement with Principal agent relationship), on account of commission or incentive payable for sale of its goods/services, in the form of the Mutual Fund Units through SIP or lump sum / onetime subscription. For complete details, please refer the section on Third Party cheques given in the General Instructions.

17. Please refer the "Minimum Application/Number of units" mentioned in the KIM under the section "Applicable to all schemes" for minimum subscription amount and frequency. Please take note of the Minimum Amount Criteria as under:

Schemes	Monthly / Quarterly Frequency
Mirae Asset ELSS Tax Saver Fund	₹ 500 - 5 Installments - Multiples of ₹ 500 thereafter
Mirae Asset Equity oriented and Hybrid Funds	₹ 500 - 5 Installments - Multiples of ₹1 thereafter
Mirae Asset NYSE FANG+ ETF Fund of Fund	₹500 - 5 Installments - Multiples of ₹ 1 thereafter
Mirae Asset S&P 500 Top 50 ETF Fund of Fund	₹500 - 5 Installments - Multiples of ₹ 1 thereafter
Mirae Asset Hang Seng TECH ETF Fund of Fund	₹500 - 5 Installments - Multiples of ₹ 1 thereafter
All Other Schemes	₹ 1000 - 5 Installments - Multiples of ₹ 1 thereafter

*Please refer latest notice cum addendum for details on Minimum Application criteria for Mirae Asset Large and Midcap Fund.

Please note Default Option is Growth. In case of Income Distribution cum capital withdrawal option. default option is Reinvestment of Income Distribution cum capital withdrawal option. In case of ambiguity at Plan level, it will always be under Direct Plan.

at Plan level, it will always be under Direct Plan. 18. The transactions are liable to rejection incase Investor has Multiple Auto Debit Mandate at folio level. Separate forms will be required to be submitted to avail of SIP in separate schemes / plans / date. A single form cannot be used for different schemes simultaneously. 19. Employee Unique Identification Number (EUIN): SEBI has made it compulsory for every employee / relationship manager / sales person of the distributor of mutual fund products to quote the EUIN obtained by him / her from AMFI in the ApplicationForm. EUIN, particularly in advisory transactions, would assist in addressing any instance of misspelling even if the employee / relationship manager/sales person later leaves the employment of the distributor. Individual ARN holders including senior citizens distributing mutual fund products are also required to obtain and quote EUIN in the Application Form. Hence, if your investments are routed through a distributor has not given you any advice pertaining to the investment, the EUIN box may be left blank. In this case, you are required to tot kmark the box provided above the employee/sales person / relationship manager of the distributor has not given you any advice pertaining to the investment, the EUIN box may be left blank. In this case, you are required to tick mark the box provided above the employee/sales person / relationship manager of the distributor/sub broker with respect to the transaction, AMCs shall take the requisite declaration separately signed by the investor.

20. Mirae Asset Mutual Fund/Sponsor Bank/NPCI are not liable for the bank charges, if any debited from investor's bank account by the destination bank, on account of payment through OTM 21. For further details of the Scheme features like minimum amounts, risk factors etc, investors should, before investment, refer to the Scheme Information Document(s), Key Information Memorandum and Addenda issued available at any of the Investor Service Centers or distributors or from the website memorander of the scheme Information Document(s).

21. For further details of the Scheme features like minimum anounts, risk factors etc. investors should, before investment, refer to the Scheme Information Document(s), Key Information Memorandum and Addenda issued available at any of the Investor Service Centers or distributors or from the website www.miraeassetm?co.in
22. Additional for MICRO Systematic Investment Plans (MICRO SIPs)
As per SEBI guidelines, Lumpsum and SIP investments in mutual funds up to ₹ 50,000 per year, per investor, per mutual fund shall be exempted from the requirement of PAN subject to other operational guidelines. Any investment, lumpsum or through Systematic Investment Plans (SIPs) by investors, where a ggregate of investments/instalments in a rolling 12 months period, does not exceed ₹ 50,000/- per investor will be treated as Micro investments for the above purpose. Micro investments will be accepted subject to PAN examption in KYC process. This exemption will be applicable to joint holders also. In case under MICRO SIP, the first SIP Instalment is processed (as the cheque may be banked), and the application is found to be defective, the Micro SIP registration will cease for thure instalments. No refund to be made for the units already allotted. However redemptions will be allowed based on the submission of normal prescribed Redemption Transaction Sip. Investors may submit any one of the Photo Identification documents (please refer paragraph titled PAN under the General instructions) along with KYC form and proof of address (self-attested). No separate address proof is required if Photo ID with address mentioned on it is submitted. The photo identification documents have to be current and vaild and also to be either self-attested or attested. by ARN holder (AMF] Registered Distributor). All the applicants for availing the schemes of the Fund the fund the defined frequency by a fixed amount during the tenure of SIP. The features, terms and conditions for availing the investor does not specify Top-Up amount

In case the SIP Top up is cancelled the SIP will continue to be processed with the last topped up amount till the SIP end date
SIP Top Up facility can be availed by Existing Investors who have already registered any SIP with the fund, after a gap of 6 months from the date of submission of such Top Up paplication request and after the subsequent cycle date SIP has been processed.
All other conditions generally applicable for SIP shall also be applicable for SIP top-up facility. or Example if for an Existing SIP, the First SIP date is 15th of each Month from Jan 2016; and the Top Up application request is submitted on 22nd Feb, 2018. The Next SIP date will be 15th of March, 2018; therefore the Top Up will start after 6 Months from 15th of September, 2018
Top-Up facility would be available to avail Top-Up facility and will be required to submit 'Systematic Investment Plan (SIP) with Top-up Facility' at least 25-30 calendar days prior to the Top-Up start month. An Illustration: The Top-Up facility will work as follows:

Details of SIP registered

Details of Top-up opted for Example: Fixed SIP Installment amount: ₹ 5,000/- Top-Up Amount: ₹1,000/-• SIP Period: 01-April-2019 till 31-March-2022 (3 years)

· SIP Date: 1st of every month (36 installments)

Based on above details, SIP Installments shall be as follows:

Installment No(s).	SIP Installment (in ₹) (A)	Top-Up amount (in ₹) (B)	Monthly SIP Installment amount after Top-up (in ₹) (A+B)
1 to 6	5,000	NA	5,000
7 to 12	5,000	1,000	6,000
13 to 18	6,000	1,000	7,000
19 to 24	7,000	1,000	8,000
25 to 30	8,000	1,000	9,000
31 to 36	9,000	1,000	10,000* *(At-least amount to be filled on NACH Mandate)

24. Terms & Conditions - Goal Based SIP

SIP application can have only one Goal assigned. Investors will be required to submit separate application forms for each Goal.

application forms for each Goal.
Units will not be automatically redeemed upon achievement of Goal and the SIP shall continue till the term of the SIP, even after the crossing of the Goal Amount. Further, Units will be redeemed as per First In First Out basis for any redemption applied for.
* 'Goal Amount & type of Goal is mandatory for each Goal SIP Application. Default option will be Retirement Planning where no Goal is ticked. If no Goal amount is mentioned, the same will be taken as default amount of 1 Crore. Further, AMC reserves the right to register any such deficient application as a regular SIP & not as Goal SIP.
* Goal SIP facility is currently not available for SIP registered/submitted through Post-dated cheques (PDCs), OR through Mutual fund Utility (MFU) and through Channel partners. As & When relevant systems are put in place, this facility will be automatically offered.

systems are put in place, this facility will be automatically oriered. Investors may kindly note that the status of Goal sip cannot be changed once registered. Investors will have a choice to discontinue the Goal SIP, with a prior notice if 15 days. 25. Pursuant to recent SEBI guideline, w.e.f 01st Feb, 2021, Allotment of units will be based on Realization basis. Here the date and time of receipt of funds into the collection account of the scheme will be considered and it will not be basis the debit date from investor's bank account. It may be please noted that different payment modes may have different clearing cycles, and accordingly, there will difference in the transaction date versus the NAV applied date for across transactions like Lumpsum, SIP or Switches including STP's. In case of Switches or STP's the Allotment will be as per the settlement cycle of the OUT scheme in to the IN scheme scheme

All other conditions generally applicable for SIP shall also be applicable for Goal SIP.

26. SIP Cancellation: Investor can cancel the sip by submitting sip cancellation form before 10 calendar day of next instalment date.

- Top-Up Frequency: Every 6 months

TERMS AND CONDITIONS

- One Time Mandate (OTM) is a facility (herein after referred as'facility') whereby the Unit holder(s) can register a One Time Mandate to debit their bank account up to a certain limit per transaction, as per their choice, with Mirae Asset Mutual Fund ('Fund') and authorizing the Fund and the bank to debit their bank account for payment towards various purchases or SIP instalments submitted through various modes offered or as may be offered from time to time by Mirae Asset Mutual Fund.
- This facility is an authorization to the bank, as indicated by the Unit holder(s) in the OTM form, to debit their bank account up to a certain limit in a particular folio per registration per transaction, based on their instruction to the Fund, whenever they choose to invest or start a SIP.
- 3. Unless otherwise specified, the term 'mandate' in these terms and conditions refers to the specific bank and bank account number of the investor/s or unit holder/s as mentioned by them in the OTM form (mandate form) to be used for debits for payment towards SIP instalments..
- 4. The Application Form should be completed in ENGLISH and in BLOCK LETTERS only.
- 5. Investors who have already submitted a One Time Mandate (OTM) form or already registered for OTM facility should not submit OTM form again as OTM registration is a one-time process only for each bank account. However, if such investors wish to add a new bank account towards OTM facility may fill the form.
- 6. Investors, who have not registered for OTM facility, may fill the OTM form and submit duly signed with their name mentioned. There is no requirement of filling a mandate form every time for a new SIP, as long as the amount of the instalments for the SIPs registered are within the mandate amount.
- 7. In a folio, the Unit holder(s) can register only a single One Time Mandate with a particular bank account number. In other words, for the same bank account number, the unit holder(s) cannot submit more than one mandate in a folio. However, the Unit holder(s) can register multiple mandates of different bank account numbers maintained with the same bank or different banks.
- 8. This facility is available to all categories of investors who are eligible to invest in the schemes of the Fund from time to time MAMF reserves the right to restrict or withdraw or discontinue the OTM facility to certain categories of investors or to any specific investor anytime at its discretion without assigning any prior reason thereof.
- Application forms along with supporting documents can be submitted to Investor Service Centre of the AMC or the RTA – M/s Kfintech, contact details of which are available on www.miraeassetmf.co.in
- Registration of One Time Mandate will take 25 Calendar days from the date of submission of form.
- 11. Maximum amount per OTM is Rs. 1 Crore
- 12. Mobile Number and Email Id: Unit holder(s) should mandatorily provide their mobile number and email id on the mandate form. Where the mobile number and email id mentioned on the mandate form differs from the ones as already existing in the folio, the details provided on the mandate will not be updated in the folio; however, the AMC reserves the right to communicate for transaction reasons on such contact details. All future communication whatsoever would be, thereafter, sent to the mobile number and email id registered under the folio.
- 13. Investors are deemed to have read and understood the terms and conditions of OTM Facility, SIP registration through OTM facility, the Scheme Information Document, Statement of Additional Information, Key Information Memorandum, Instructions and Addenda issued from time to time of the respective Scheme(s) of Mirae Asset Mutual Fund.
- 14. "National Automated Clearing House (NACH)" is Direct Electronic Debit mode implemented by National Payments Corporation of India (NPCI), list of banks is available on NPCI website www.npci.org.in. The said list is subject to modifications. The investor agrees to abide by the terms and conditions of NACH Debit/ECS of Reserve Bank of India/Banks.
- 15. Date and the validity of the mandate should be mentioned in DD/MM/ YYYY format.
- 16. Utility Code of the Service Provider will be mentioned by Mirae Asset Mutual Fund.
- 17. Tick on the respective option to select your choice of action and instruction.
- 18. Please mention the Name of Bank and Branch, IFSC / MICR Code also provide an Original Cancelled copy of the cheque of the same bank account registered in One Time Mandate.
- 19. Amount payable for service or maximum amount per transaction that could be processed in words. The amount in figures should be same as the amount mentioned in words, in case of ambiguity the mandate will be rejected.
- 20. For the convenience of the investors the frequency of the mandate will be "As and When Presented"

- 21. Please affix the Names of customer/s and signature/s as well as seal of Company (where required) and sign the undertaking
- 22. The Investor/s shall not hold the AMC liable for the following:
- For any transaction using the Facility carried out in good faith by the AMC on instructions of the Investor/s.
- · For unauthorized usage/ unauthorized transactions conducted by using the facility.
- For any loss or damage incurred or suffered by the Investor/s due to any error, defect, failure or interruption in the provision of the Facility arising from or caused by any reason whatsoever.
- 23. Investor will not hold Mirae Asset Mutual Fund, its registrars and other service providers responsible if the transaction is delayed or not effected or the investor bank account is debited in advance or after the specific date due to various clearing cycles of NACH Debit/ Local/Bank holiday. Mirae Asset Mutual Fund, its registrars and other service providers shall not be held responsible or liable for damages / compensation / loss incurred by the investor as a result of using the SIP or ECS / Auto debt facility. The investor assumes the entire risk of using this facility and takes full responsibility.
- 24. Mirae Asset Mutual Fund reserves the right to reject any application without assigning any reason thereof. Mirae Asset Mutual Fund in consultation with Trustees reserves the right to withdraw these offerings, modify the procedure, frequency, dates, load structure in accordance with the SEBI Regulations and any such change will be applicable only to units transacted pursuant to such change on a prospective basis.
- 25. It is clarified that the Facility is only with a view to accommodate / facilitate the Investor/s and offered at the sole discretion of the AMC. The AMC is not bound and/or obliged in any way to give access to the Facility to Investor/s.
- 26. The Investor/s shall check his/ her account records carefully and promptly. If the Investor/s believes that there has been a mistake in any transaction using the Facility, or that an unauthorized transaction has been affected, the Investor/s shall notify the AMC immediately. If the Investor/s defaults in intimating the discrepancies in the statement within a period of fifteen days of receipt of the statements, he waives all his rights to raise the same in favor of the AMC, unless the discrepancy/ error is apparent on the face of it. By opting for the facility, the Investor/s hereby irrevocably authorizes and instructs the AMC to act as his/ her agent and to do all such acts as AMC may find necessary to provide the Facility.
- 27. Investor/s can choose to cancel the OTM by filling OTM cancellation form 10 days in advance of the next SIP date
- 28 .While submitting the mandate the gap between the current business date and date of the mandate should be less than 120 days, if the gap is more than 120 days then such mandates shall be rejected. The date of the mandate should be less than or equal to the current business date. If the date is beyond the current business date, then such mandate shall not be accepted.
- 29. As per NPCI latest circular maximum end date will be upto 40 years. Hence it is mandatory to provide the End date on the form.

Standalone SIP Registration Form (For OTM Registered Investors only)

Registration Cum Mandate Form For NACH/Direct Debit



(For OTM Registered In	vestors only)	Application No.:			Mutual	Fund		
Name & Broker Code/ ARN/RIA Code	Sub Broker / Agent ARN Code	Sub Agent Code	EUIN*	Internal Code f	or AMC		Time Stan	np
EUIN Declaration: Declaration for "Execution Only box has been intentionally left blank by me/us as thi in-appropriateness, if any, provided by the employe holdings/NAV etc. in respect of my/our investments	/ /" Transaction (where Employee Uniqu s transaction is executed without any in exe/relationship manager/sales person c under Direct Plan of all Schemes mana	l e Identification Number-EUI teraction or advice by the em of the distributor/sub broker. F ged by vou, to the above men	I N* box is left blank). Please re bloyee/relationship manager/ RA/Declaration: "I/We hereb tioned SEBI-Registered Inves	l fer instruction for comp sales person of the abov y give you my/our cons stment Adviser/ RIA".	lete details on E ve distributor/su ent to share/pro	EUIN. I/We hereb b broker or notwi ovide the transact	y confirm that thstanding the tions data feed	the EUIN advice c d/portfoli
Signature of 1 ^{er} Applicant / Guardian / Authorised S		re of 2 ^{et} Applicant / Guardian /				uardian / Authorise		
Please 🕢 🔽 NEW SIP ENROLMEN	T WHERE OTM ALREADY REG	ISTERD	SIP Top-up Facility	/ Goa	al SIP			
1. EXISTING UNIT HOLDER INFOR			lio number mentione	d will apply for th	is applicati	on.)		
Name of 1 st Unit Holder (as per PAN card)				Folio No.				
2. SIP ENROLMENT DETAILS (Ple	ase check the Minimum Amo	ount Criteria for the s	cheme applied for. [R			Overleaf]).		
Frequency Please Monthly	(Default) Quarterly	Regular Plan	Direct Plan Gr	owin	V Payout V Reinvest	ment] IDCW* Frequer	ncy^
Scheme:								
*IDCW frequency is applicable only for Mirae *Income Distribution cum Capital Withdrawal.								
	y Date from 1 st till 28 th of the m I be considered as the default		(₹) □ 5,000 □ 10,0	000 🗌 25,000 [Any othe	r Amount. (₹))	
SIP Start Month (MM/YY) M M Y	Y SIP End Month (MM/YY) M M Y Y	OR Perpetual De	ec 2099 (Till you instr	uct Mirae Asset	t Mutual Fund to	discontinue y	our SIP)
2a. Goal SIP - Do you want to assig	in a goal for your SIP.	Yes No If ves	s please select (√) yo	ur goal [Refer Ge	neral Instru	ction 24 Ove	erleafl.	
If Goal and SIP amount is same defau		Goal Amount ₹		Kids Educ	_	Retirement		Defaul
Tax Savings Dream House	🗌 Dream Car 🗌 Drea	m Vacation 🗌 Kie	ds Marriage	Others-	PI	lease specify	7	
2b. SIP TOP-UP FACILITY (You can	start SIP Top-up facility afte	r minimum 6 months	from 1st SIP) [Refer (General Instructio	on No. 23 O	verleaf].		
All Applicants have to submit NACH m	nandate and will need to fill th	e maximum amount i	n line with Top Up am	ount, SIP amount	& tenure. (N	lot available	for micro S	SIPs)
Top-up Amount (₹) (minim	um ₹ 500/- & in multiples of ₹ 1	/- only) Top-up Start N	Ionth (MM/YY) M	И Ү Ү Тор-ц	up End Mon	th (MM/YY)	MM	Y Y
Existing Investors Availing Top-Up: PI	ease provide current SIP IH N	lumber as per SOA	F	requency Please	🕢 🗌 Ha	alf Yearly	Yearly (I	Defaul
3. OTM Bank Details to be debited	I for the SIP (OTM already Re	egistered)	,					
Name of 1 st A/c. Holder as in Bank Recor	ds							
Bank Name		Core Banking A/c. No.						
Branch Name & Address					City			
9 Digit MICR Code	Ba	nk Account Type 🕢		CURRENT [SAVINGS	6 🗌 N	RO	
DECLARATION & SIGNATURE: To The Trustees, Mirae As conditions, rules and regulations governing the scheme & c operational reasons, I/We would not hold Mirae Asset Inves standing instructions. "The ARN holder has disclosed to I being recommended to me/us". "I/We have not made ar financial year".	onditions of SIP enrolment and registration th trment Managers (India) Private Limited, their me/us all the commissions (in the form of t	rough NACH/ECS or Direct Debit appointed service providers or re rail commission or any other me	(Auto Debit). I/We also agree that presentatives responsible. I/We al ode), payable to him for the diffe	if the transaction is delayed so undertake to keep suffici rent competing Schemes	or not effected for ent funds in my ba of various Mutua	reasons of incomple ank account on the c al Funds from amo	ete or incorrect of date of execution ngst which the	or any othe of the sa Scheme
Signature of 1" Applicant/Guardian/Authorised (AS IN BANK RECORDS)		re of 2 [≓] Applicant/Guardian / (AS IN BANK REC		Signature of 3		ardian/Authorise NK RECORDS)	d Signatory/P	'oA

Acknowledgement slip for Standalone SIP Registration Form (For OTM Registered Investors only) To be filled by the investor



SIP for Folio No.:		_Received from Mr./Mrs.:	Wataan ana	
Scheme:		_ Plan:		[
Bank Name :	_Cheque No.:	_Amount ₹:	_Dated:	

GENERAL GUIDELINES

This One Time Mandate (OTM) registration form will be submitted through National Automated Clearing House (NACH).

1. This SIP registration form has to be filled where an One Time mandate (OTM) is already registered in a folio for a bank account, and there is no need of a separate cheque to be given along with the SIP Registration Form.

Registration Form. 2. In case the One Time mandate (OTM is successfully registered, Please submit sip registration form not less than15 Calendar daysbefore the first installment date as New SIP registration will take 15 Calendar days. The first debit may happen any time thereafter, based on the dates opted by the Unit holder(s). The installment start date shall not be later than 100 calendar days from date of application submission date. Applicant acknowledges that incomplete or ambiguous forms in any respect will not be processed & AMC reserves the right to reject such applications. 3. Investor shall have the option of choosing any date of the month as the SIP date from 01st to 28th except the last three calendar dates 29th, 30th and 31st. If SIP debit date is not mentioned default date would be considered as 5th of every month. Even If the Investor selects or mentions the SIP dates as 29th, 30th or 31st, the default SIP date would be considered as 05th of every month only. 4. Unit holder(s) should mandatorily provide their mobile number and email id on the mandate form.

A Unit holder(s) should mandatorily provide their mobile number and email id on the mandate form. Where the mobile number and email id mentioned on the mandate form differs from the ones as already existing in the folio, the details provided on the mandate will not be updated in the folio; however, the AMC reserves the right to communicate for transaction reasons on such contact details. All future communication whatsoever would be, thereafter, sent to the mobile number and email id registered under the folio.

under the folio. 5. Investor/Unitholder(s) should submit original Cancelled Cheque (or a copy) along with mandate form with name and account number pre-printed of the bank account to be registered or bank account verification letter for registration of the mandate failing which registration may not be accepted. The Unitholder(s) cheque/bank account details are subject to third party verification. 6. Investors are required to ensure adequate funds in their bank account on the date designated SIP date. Mirae Asset Mutual Fund (MAMF) through its service provider will endeavor to debit the investor bank account on or after the said date.

bank account on or after the said date.
7. Applicant acknowledges that Mirae Asset Mutual Fund will not be liable in any manner whatsoever, for any transaction failures due to rejection by the investor's bank/branch, which is due to technical reasons or due to delay in registration of the NACH mandate. Further, Applicant is aware that he/she has to sufficiently keep its bank account funded for such non-debited transactions; which upon confirmation may be presented anytime to its bank for stipulated SIP debits. Further, the Applicant also confirms that it will not hold Mirae Asset Mutual Fund and/or its service providers responsible if the transaction is delayed or not effected by its Bank or if debited in advance on an Pre-Debit note or after the specific date due to various reasons or for any bank charges debited by its banker in its designated account towards NACH Registration / Cancellation/Rejections, NACH Debit/Auto Debit/Local Holdays.

5. Mirae Asset Mutual Fund reserves the right to reverse allotments in case the debit is not paid by the bank for any reason whatsoever. Further, Mirae Asset Mutual Fund shall not be responsible and liable for any damages/compensation for any loss, damage etc., incurred by the investor. The Applicant is aware and assumes the entire risk of using the Auto Debit facility of NACH and takes full responsibility for the same.

same.
9. Mirae Asset Mutual Fund / Mirae Asset Trustee Co. Pvt. Ltd. / Mirae Asset Investment Managers (India) Private Limited reserves the right to discontinue or modify this facility at any time in future on a prospective basis. This right also includes the right to discontinue this facility in case Direct Debits through NACH routes are continuously rejected by the investor's bank for any reasons. Mirae Asset Mutual Fund reserves the right to reject any application without assigning any reason thereof.
10. Kindly note that any change in original SIP enrolment details such as SIP Date, Frequency, Tenure will be considered as fresh application and will be subject to applicable load structure and other terms at the time of application. Any change in any credential of bank particulars or transaction modification, will be treated as fresh Instructions, and applicants will have to use separate form for such changes/modifications.

11. Please mention registered OTM Parent I.H no or OTM BANK ACCOUNT DETAILS from which investor want to debit the amount.

Parent IH NO	Туре	Start Date	End date	Frequency	Bank Details	Maximum Amount	Status	Reg Date	Child IH No	UMRN NO
42641212	ACTM	06-62-2023	21/12/2099		XXXXXXXXX8511	1,00,000,00	Registered With Bark	63-01-2623		XXXXXXXXXXX
-	-	-	1112,000		And the second s	1.00.000.00		00.000		****

12. Investments made through Auto Debit mode are subject to realization of funds from investor bank accounts and the NAV guidelines will be applicable for the transactions which are connected with realization of funds

13. In case any payment instruction for SIP installment is dishonored by the Bankers for three consecutive times for the reason Account Closed or In sufficient balance or any technical reasons as provided by NPCI /

Aggregator, Sip will be terminated. 14. The facility will be automatically terminated upon receipt of intimation of death of the Unitholder. Further, fresh registrations will be required for all Minor Investors who have turned Major, along with fresh bank account credentials. MAMF reserves the right to reject all such transactions through old registrations.

registrations. 15. Each SIP installment will be treated as a fresh transaction and shall be subject to applicable exit load structure prevailing on the date of each investment. 16. Third Party Cheque / Funds Transfer: The payment towards investment can happen only from the bank account of 1st holder and therefore the 1st holder needs to be one of the holder in the bank account. As per the recent guidelines, Mirae Asset Mutual Fund has decided to restrict the acceptance of Third Party payments. Accordingly Third Party payment instruments for subscriptions / investments shall not be accepted by the AMC except in the following cases: (i) Payment by employeer on behalf of employee under Systematic Investment Plan (SIP) facility through

(i) Payment by employer on behalf of employee under Systematic Investment Plan (SIP) facility through payroll deductions;

Custodian on behalf of an FII or a Client

(ii) Custodian on behavior a chemic.
(iii) Payment by the AMC to an empanelled Distributor on account of commission/ incentive etc. in the form of the Mutual Fund units of the schemes managed by the AMC through SIP or lump sum / one-time subscription.

subscription. (iv) Payment by a Corporate to its Agent/ Distributor/ Dealer (similar arrangement with Principal agent relationship), on account of commission or incentive payable for sale of its goods/services, in the form of the Mutual Fund Units through SIP or lump sum / onetime subscription. For complete details, please refer the section on Third Party cheques given in the General Instructions. 17. Please refer the "Minimum Application/Number of units" mentioned in the KIM under the section "Applicable to all schemes" for minimum subscription amount and frequency. Please take note of the Minimum Amount Criteria as under:

minimum and an						
	Schemes	Monthly / Quarterly Frequency				
	Mirae Asset ELSS Tax Saver Fund	₹ 500 - 5 Installments - Multiples of ₹ 500 thereafter				
	Mirae Asset Equity oriented and Hybrid Funds	₹ 500 - 5 Installments - Multiples of ₹1 thereafter				
	Mirae Asset NYSE FANG+ ETF Fund of Fund	₹ 500 - 5 Installments - Multiples of ₹ 1 thereafter				
	Mirae Asset S&P 500 Top 50 ETF Fund of Fund	₹ 500 - 5 Installments - Multiples of ₹ 1 thereafter				
	Mirae Asset Hang Seng TECH ETF Fund of Fund	₹ 500 - 5 Installments - Multiples of ₹ 1 thereafter				
	All Other Schemes	₹ 1000 - 5 Installments - Multiples of ₹ 1 thereafter				

*Please refer latest notice cum addendum for details on Minimum Application criteria for Mirae Asset Large and Midcap Fund.

Please note Default Option is Growth. In case of Income Distribution cum capital withdrawal option, default option is Reinvestment of Income Distribution cum capital withdrawal option. In case of ambiguity at Plan level, it will always be under Direct Plan.

18. The transactions are liable to rejection incase Investor has Multiple Auto Debit Mandate at folio level. Separate forms will be required to be submitted to avail of SIP in separate schemes / plans / date. A single form cannot be used for different schemes simultaneously.

19. Employee Unique Identification Number (EUIN): SEBI has made it compulsory for every employee / relationship manager / sales person of the distributor of mutual fund products to quote the EUIN obtained by him / her from AMFI in the ApplicationForm. EUIN, particularly in advisory transactions, would assist in addressing any instance of misspelling even if the employee / relationship manager/sales person later leaves the employment of the distributor. Individual ARN holders including senior citizens distributing mutual fund products are also required to obtain and quote EUIN in the Application Form. Hence, if your investments are routed through a distributor has not given you any advice pertaining to the investment, the EUIN box may be left blank. In this case, you are required to tock mark the box provided above the employee/sales person / relationship manager of the distributor/sub broker with respect to the transaction, AMCs shall take the requisite declaration separately signed by the hores. If any debited from

Signature box: however, in case of any exceptional cases where the investor. Provide Sales person / relationship manager of the distributor tube troker with respect to the transaction, AMCS shall take the requisite declaration separately signed by the investor. 20. Mirae Asset Mutual Fund/Sponsor Bank/NPCI are not liable for the bank charges, if any debited from investor's bank account by the destination bank, on account of payment through OTM 21. For further details of the Scheme features like minimum amounts, risk factors etc. investors should, before investment, refer to the Scheme Information Document(s), Key Information Memorandum and Addenda issued available at any of the Investor Service Centers or distributors or from the website www.miraeassetmf.co.in 22. Additional for MICRO Systematic Investment Plans (MICRO SIPs) As per SEBI guidelines, Lumpsum and SIP investments in mutual funds up to ₹ 50,000 per year, per investor, per mutual fund shall be exempted from the requirement of PAN subject to other operational guidelines. Any investment, lumeysum or through Systematic Investment Plans (SIPs) by investors, where aggregate of investments/instalments in a rolling 12 months period, does not exceed ₹ 50,000/- per investor will be treated as Micro investments for the above purpose. Micro investments will be accepted subject to PAN ₹exemption in KYC process. This exemption will be applicable ONLY to investments by individuals (not NRI & PIOS), Minors and Sole proprietary firms. The exemption is applicable to joint holders also. In case under MICRO SIP, the first SIP Instalment is processed (as the cheque may be banked), and the application is found to be defective, the Micro SIP registration will cease for future instalments. No refund to be made for the units already allotted. However redemptions will be allowed based on the submission of normal prescribed Redemption Transaction SIp.Investors may submit any one of the Photo Identification documents (please refer paragraph titled PAN un

In Substitution, Default will be Considered as yearly inequency.
 Minimum Top-Up amount would be ₹ 500⁴. Sin multipleer of Ke. 1/- thereafter for all the schemes of the Fund that offer SIP
 In case the investor does not specify Top-Up amount, ₹ 500/- will be considered as the Top-Up amount and the request will be processed accordingly.
 SIP Top-up facility can be started after minimum 6 months from the date of 1st SIP for both New and Existing SIP Investors. If the end-date of the Top-up facility is not mentioned the Top-up facility will be continued up till the tenure of the SIP. For example, if the SIP is registered up till 30 yrs, and the end date of the Top-up facility is currently available for SIP registration and installment being made by submission of Post-dated cheques (PDCs) and where SIP is registered and installment being made by submission of Post-dated cheques (PDCs) and where SIP is registration and installment being made by submission of Post-dated cheques (PDCs) and where SIP is registered and installment being made by submission of Post-dated cheques (PDCs) and where SIP is registered and installments are sent through Mutual Fund Utility (MFU), NSE MF II system of NSE or BSE Star MF platform of BSE or any other platforms of these stock exchanges or Channel partners. As & When relevant systems are put in place, this facility will be automatically offered.
 The Top-up details cannot be modified once enrolled. In order to make any changes, the investor must cancel the existing SIP and enroll for a fresh SIP with Top-up option.
 In case the SIP Top up is cancelled the SIP will continue to be processed with the last topped up amount till the SIP end date.
 SIP Top Up facility can be availed by Existing Investors who have already registered any SIP with the subsequent cycle date SIP has been processed.
 All other conditions generally applicable for SIP spall also be applicable for SIP top-up facility.

Details of SIP registered	Details of Top-up opted for
Fixed SIP Installment amount: ₹ 5,000/- SIP Period: 01-April-2019 till 31-March-2022 (3 years) SIP Date: 1st of every month (36 installments)	Example: • Top-Up Amount: ₹1,000/- • Top-Up Frequency: Every 6 months

Based on above details, SIP installments shall be as follows:													
Installment No(s).	SIP Installment (in ₹) (A)	Top-Up amount (in ₹) (B)	Monthly SIP Installment amount after Top-up (in ₹) (A+B)										
1 to 6	5,000	NA	5,000										
7 to 12	5,000	1,000	6,000										
13 to 18	6,000	1,000	7,000										
19 to 24	7,000	1,000	8,000										
25 to 30	8,000	1,000	9,000										
31 to 36	9,000	1,000	10,000* *(At-least amount to be filled on NACH Mandate)										

24. Terms & Conditions - Goal Based SIP

24. Terms & Conditions - Goal Based SIP
SIP application can have only one Goal assigned. Investors will be required to submit separate application forms for each Goal.
Units will not be automatically redeemed upon achievement of Goal and the SIP shall continue till the term of the SIP, even after the crossing of the Goal Amount. Further, Units will be redeemed as per First In First Out basis for any redemption applied for.
* Goal Amount & type of Goal is mandatory for each Goal SIP Application. Default option will be Retirement Planning where no Goal is ticked. If no Goal amount is mentioned, the same will be taken as default amount of 1 Crore. Further, AMC reserves the right to register any such deficient application as a regular SIP & not as Goal SIP.
• Goal SIP facility is currently not available for SIP registered/submitted through Post-dated cheques (PDCs), OR through Mutual fund Utility (MEU) and through Channel partners. As & When relevant systems are put in place, this facility will be automatically offered.
• Investors may kindly note that the status of Goal sip cannot be changed once registered. Investors will have a choice fo discontinue the Goal SIP, fund the coll SIP, with a prior notice if 15 days.
25. Pursuant to recent SEBI guideline, w.e.f01st Feb, 2021, Allotment of units will be based on Realization basis. Here the date and time of receipt of funds into the collection account of the scheme will be considered and it will not be basis the debit date from investor's bank account. It may be please noted that different payment modes may have different clearing cycles, and accordingly, there will difference in the transaction date versus the NAV applied date for across transactions like Lumpsum, SIP or Switches including STP's. In case of Switches or SIP's the Allotment will be as per the settlement cycle of the OUT scheme in to the IN scheme scheme

 All other conditions generally applicable for SIP shall also be applicable for Goal SIP.
 26. SIP Cancellation: Investor can cancel the sip by submitting sip cancellation form before 10 calendar day of next instalment date.

STANDALONE ONE TIME MANDATE (OTM) FOR SIP APPLICATION. MIRAE ASSET



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Name & Broker Code/ ARN/RIA Code				Sub Broker / Agent ARN Code						Sub Agent Code							EUIN*							I C	ode	for	A	IC	ISC Date Time Stamp Reference No.									
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1. Name Of Primary Account Holder

2. Name Of Joint Account Holder

3.

Phone No.

TERMS AND CONDITIONS

- One Time Mandate (OTM) is a facility (herein after referred as'facility') whereby the Unit holder(s) can register a One Time Mandate to debit their bank account up to a certain limit per transaction, as per their choice, with Mirae Asset Mutual Fund ('Fund') and authorizing the Fund and the bank to debit their bank account for payment towards various purchases or SIP instalments submitted through various modes offered or as may be offered from time to time by Mirae Asset Mutual Fund.
- This facility is an authorization to the bank, as indicated by the Unit holder(s) in the OTM form, to debit their bank account up to a certain limit in a particular folio per registration per transaction, based on their instruction to the Fund, whenever they choose to invest or start a SIP.
- 3. Unless otherwise specified, the term 'mandate' in these terms and conditions refers to the specific bank and bank account number of the investor/s or unit holder/s as mentioned by them in the OTM form (mandate form) to be used for debits for payment towards SIP instalments..
- 4. The Application Form should be completed in ENGLISH and in BLOCK LETTERS only.
- 5. Investors who have already submitted a One Time Mandate (OTM) form or already registered for OTM facility should not submit OTM form again as OTM registration is a one-time process only for each bank account. However, if such investors wish to add a new bank account towards OTM facility may fill the form.
- 6. Investors, who have not registered for OTM facility, may fill the OTM form and submit duly signed with their name mentioned. There is no requirement of filling a mandate form every time for a new SIP, as long as the amount of the instalments for the SIPs registered are within the mandate amount.
- 7. In a folio, the Unit holder(s) can register only a single One Time Mandate with a particular bank account number. In other words, for the same bank account number, the unit holder(s) cannot submit more than one mandate in a folio. However, the Unit holder(s) can register multiple mandates of different bank account numbers maintained with the same bank or different banks.
- 8. This facility is available to all categories of investors who are eligible to invest in the schemes of the Fund from time to time MAMF reserves the right to restrict or withdraw or discontinue the OTM facility to certain categories of investors or to any specific investor anytime at its discretion without assigning any prior reason thereof.
- Application forms along with supporting documents can be submitted to Investor Service Centre of the AMC or the RTA – M/s Kfintech, contact details of which are available on www.miraeassetmf.co.in
- Registration of One Time Mandate will take 25 Calendar days from the date of submission of form.
- 11. Maximum amount per OTM is Rs. 1 Crore
- 12. Mobile Number and Email Id: Unit holder(s) should mandatorily provide their mobile number and email id on the mandate form. Where the mobile number and email id mentioned on the mandate form differs from the ones as already existing in the folio, the details provided on the mandate will not be updated in the folio; however, the AMC reserves the right to communicate for transaction reasons on such contact details. All future communication whatsoever would be, thereafter, sent to the mobile number and email id registered under the folio.
- 13. Investors are deemed to have read and understood the terms and conditions of OTM Facility, SIP registration through OTM facility, the Scheme Information Document, Statement of Additional Information, Key Information Memorandum, Instructions and Addenda issued from time to time of the respective Scheme(s) of Mirae Asset Mutual Fund.
- 14. "National Automated Clearing House (NACH)" is Direct Electronic Debit mode implemented by National Payments Corporation of India (NPCI), list of banks is available on NPCI website www.npci.org.in. The said list is subject to modifications. The investor agrees to abide by the terms and conditions of NACH Debit/ECS of Reserve Bank of India/Banks.
- 15. Date and the validity of the mandate should be mentioned in DD/MM/ YYYY format.
- 16. Utility Code of the Service Provider will be mentioned by Mirae Asset Mutual Fund.
- 17. Tick on the respective option to select your choice of action and instruction.
- 18. Please mention the Name of Bank and Branch, IFSC / MICR Code also provide an Original Cancelled copy of the cheque of the same bank account registered in One Time Mandate.
- 19. Amount payable for service or maximum amount per transaction that could be processed in words. The amount in figures should be same as the amount mentioned in words, in case of ambiguity the mandate will be rejected.
- 20. For the convenience of the investors the frequency of the mandate will be "As and When Presented"

- 21. Please affix the Names of customer/s and signature/s as well as seal of Company (where required) and sign the undertaking
- 22. The Investor/s shall not hold the AMC liable for the following:
- For any transaction using the Facility carried out in good faith by the AMC on instructions of the Investor/s.
- · For unauthorized usage/ unauthorized transactions conducted by using the facility.
- For any loss or damage incurred or suffered by the Investor/s due to any error, defect, failure or interruption in the provision of the Facility arising from or caused by any reason whatsoever.
- 23. Investor will not hold Mirae Asset Mutual Fund, its registrars and other service providers responsible if the transaction is delayed or not effected or the investor bank account is debited in advance or after the specific date due to various clearing cycles of NACH Debit/ Local/Bank holiday. Mirae Asset Mutual Fund, its registrars and other service providers shall not be held responsible or liable for damages / compensation / loss incurred by the investor as a result of using the SIP or ECS / Auto debt facility. The investor assumes the entire risk of using this facility and takes full responsibility.
- 24. Mirae Asset Mutual Fund reserves the right to reject any application without assigning any reason thereof. Mirae Asset Mutual Fund in consultation with Trustees reserves the right to withdraw these offerings, modify the procedure, frequency, dates, load structure in accordance with the SEBI Regulations and any such change will be applicable only to units transacted pursuant to such change on a prospective basis.
- 25. It is clarified that the Facility is only with a view to accommodate / facilitate the Investor/s and offered at the sole discretion of the AMC. The AMC is not bound and/or obliged in any way to give access to the Facility to Investor/s.
- 26. The Investor/s shall check his/ her account records carefully and promptly. If the Investor/s believes that there has been a mistake in any transaction using the Facility, or that an unauthorized transaction has been affected, the Investor/s shall notify the AMC immediately. If the Investor/s defaults in intimating the discrepancies in the statement within a period of fifteen days of receipt of the statements, he waives all his rights to raise the same in favor of the AMC, unless the discrepancy/ error is apparent on the face of it. By opting for the facility, the Investor/s hereby irrevocably authorizes and instructs the AMC to act as his/ her agent and to do all such acts as AMC may find necessary to provide the Facility.
- 27. Investor/s can choose to cancel the OTM by filling OTM cancellation form 10 days in advance of the next SIP date
- 28 .While submitting the mandate the gap between the current business date and date of the mandate should be less than 120 days, if the gap is more than 120 days then such mandates shall be rejected. The date of the mandate should be less than or equal to the current business date. If the date is beyond the current business date, then such mandate shall not be accepted.
- 29. As per NPCI latest circular maximum end date will be upto 40 years. Hence it is mandatory to provide the End date on the form.

Mirae Asset MULTI SIP Application Form

SIP ENROLMENT with One Time Mandate (OTM) (Please fill all sections) his facility MIRAE ASSET

ease see Terms & Co	onditions on the re	everse for eligible scheme	· · ·	Application No.:	Mu	Itual Fund
Name & Brok ARN/RIA (Sub Broker/ Agent ARN Code	Sub Agent Code	EUIN*	Internal Code for AMC	ISC Date Time Stamp Reference no
s transaction is executed with	out any interaction or advice eclaration: "I/We hereby giv	e by the employee/relationship manag	er/sales person of the above distributor/s	sub broker or notwithstanding the	advice of in-appropriateness, if any, provided	EUIN box has been intentionally left blank by r by the employee/relationship manager/sales p res managed by you, to the above mentioned s
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me of 1st Unit H	older(as per PAN car	d)			Folio No.	
SIP ENROLME	ENT DETAILS (Please refer KIM for	Minimum amount Crit	eria for the schem	e applied for.)	
Scheme Name	:					
Frequency Pleas	e 🕢 🗌 Month	ly (Default) 🗌 Quarter	ly 🗌 Regular Plan [Direct Plan	Growth DCW Payo	
Frequency Pleas) (Please chool If left blank s	ose Any Date from 1st till 28 5th will be considered as th	Bth of the month, e default date)	ount (₹) 5,000] 10,000 🗌 25,000 🗌 Any	other Amount (₹)
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ulti SIP Payment De	etails- Cheque / D	D should be drawn in fav	our of " Mirae Asset Multi	SIP Collection A/c".		
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 1. Name Of Primary Account Holder
 2. Name Of Joint Account Holder
 3. Name Of Joint Account Holder

Phone No.

Terms & Conditions of Multi-SIP Facility

The Application Form should be completed in ENGLISH and in BLOCK LETTERS only.

1. This facility is not available currently with all Mirae Asset ETF schemes & Mirae Asset Large & Midcap Fund.

For further details on Fund Of Fund kindly refer the addendum no. AD/47/2022, visit: https://www.miraeassetmf.co.in/downloads/statutory-disclosure/addendum

2. Multi-SIP is a facility whereby the Unit holder(s) can register a One Time Mandate to debit their bank account for the sum total of the Multiple SIP(s) amount as applied for under eligible schemes. Cheque amount should be equivalent with total SIP(s)

instalment amount. For any mis-match in the amount application will be liable to be rejected. Under this application, the Investor authorizes the Fund and the bank to debit their bank account for payment towards various purchases through SIP instalments submitted through various modes offered or as may be offered from time to time by Mirae Asset Mutual Fund.

Please refer the "Minimum Application/Number of units" mentioned in the KIM under the section as Applicable to Eligible schemes under this Multi SIP Facility for minimum subscription amount and frequency. Default Option is Growth. In case of Income Distribution Cum Capital Withdrawal (IDCW) option, default option is IDCW-Reinvestment.

In case of ambiguity at Plan level, Application will be processed under Direct Plan as Default option.

Investor shall have the option of choosing any date of the month as the SIP date from 01st to 28th except the last three calendar dates 29th, 30th & 31st. If SIP debit date is not mentioned default date would be considered as 5th of every month. Even If the Investor selects or mentions the SIP dates as 29th, 30th or 31st, the default SIP date would be considered as 05th of every month only.

3. This facility is an authorization to the bank, as indicated by the Unit holder(s) in the OTM form, to debit their bank account up to a certain limit in a particular folio per registration per transaction, based on their instruction to the Fund, whenever they choose to invest or start a SIP.

4. Maximum amount per OTM is Rupees 1 Crore. Kindly ensure that the Max Amount mentioned has to be greater than the total SIP quantum applied for. Investors are requested to note that if OTM with similar bank account details is already registered under the folio, AMC will endeavor to register the applied SIP(s) with the already existing OTM subject to the total SIP amount (existing plus applied for) being under the maximum limit authorized. In any case, the higher of the max limit will be taken in to consideration.

If the unit holder(s) has changed the max limit amount, then the mandate will be registered as a fresh mandate with the revised details. Further, unit holder(s) will be able to register multiple OTM (up to 5 mandates) of different bank account numbers maintained with the same bank or different banks. If any OTM is submitted outside the already existing 5 mandates, the same shall be liable to be rejected.

5. Unless otherwise specified, the term 'mandate' in these terms and conditions refers to the specific bank and bank account number of the investor/s or unit holder/s as mentioned by them in the OTM form (mandate form) to be used for debits for payment towards SIP instalments.

6. Only 3 SIPs can be registered per Multi SIP application form. However, the Unit holder(s) can register multiple applications under the same OTM mandate registered for Multi SIP under the folio.

Time Gap for first SIP start date should be minimum of 25 Calendar days but not later than 100 calendar days. Applicant acknowledges that incomplete or ambiguous forms in any respect will not be processed & AMC reserves the right to reject such applications.

7. This facility is available to all categories of investors who are eligible to invest in the schemes of the Fund from time to time MAMF reserves the right to restrict or withdraw or discontinue the facility to certain categories of investors or to any specific investor anytime at its discretion without assigning any prior reason thereof.

8. Multi-SIP Application forms along with supporting documents can be submitted to Investor Service Centre of the AMC or the RTA – M/s Kfintech, contact details of which are available on www.miraeassetmf.co.in

9. Default Option is Growth. In case of Income Distribution cum capital withdrawal option, default option is Reinvestment of Income Distribution cum capital withdrawal option. In case of ambiguity at Plan level, it will always be under Direct Plan.

10. Applicant(s) also agree that if the transaction is delayed or not effected for reasonsof incomplete or incorrect or any other operational reasons, they would not hold AMC, their appointed service providers or representatives responsible.

Applicant(s) also undertake to keep sufficient funds in the bank account on the date of execution of the said standing instructions.

In case any payment instruction for SIP installment is dishonoured by the Bankers for 3 consecutive times for the reason Account Closed or Insufficient balance or any technical reasons as provided by NPCI/Aggregator, AMC reserves the right to terminate the SIP.

11. Mobile Number and Email Id: Unit holder(s) should mandatorily provide their mobile number and email id on the mandate form. Where the mobile number and email id mentioned on the mandate form differs from the ones as already existing in the folio, the details provided on the mandate will not be updated in the folio; however, the AMC reserves the right to communicate for transaction reasons on such contact details. All future communication whatsoever would be, thereafter, sent to the mobile number and email id registered under the folio.

12. Investors are deemed to have read and understood the terms and conditions of OTM Facility, SIP registration through OTM facility, the Scheme Information Document, Statement of Additional Information, Key Information Memorandum, Instructions and Addenda issued from time to time of the respective Scheme(s) of Mirae Asset Mutual Fund.

13. "National Automated Clearing House (NACH)" is Direct Electronic Debit mode implemented by National Payments Corporation of India (NPCI), list of banks is available on NPCI website www.npci.org.in. The said list is subject to modifications. The investor agrees to abide by the terms and conditions of NACH Debit/ECS of Reserve Bank of India/Banks.

14. Date and the validity of the mandate should be mentioned in DD/MM/YYYY format. Utility Code of the Service Provider will be mentioned by Mirae Asset Mutual Fund. Please don't forget to Tick on the respective option to select your choice of action and instruction.

15. The enrolment period specified in the SIP enrolment form should be less than or equal to the enrolment period mentioned in the OTM Details. In case of any deviation between the tenure for Multi-SIP and tenure mentioned in OTM Details, the transaction shall be processed till the tenure mentioned in "OTM Details". To initiate the investment, process the investor does not require to submit a physical cheque; however, Investors will be required to give a Cancel Cheque Leaf or a Copy of the Cheque for validation of bank account. Please do not overwrite the Bank Name, Branch, IFSC / MICR Code on the mandate.

16. Amount in figures should be same as the Amount mentioned in words, in case of ambiguity the mandate will be rejected.

17. For the convenience of the investors the frequency of the mandate will be "As and When Presented".

18. Please affix the Names of customer/s and signature/s as well as seal of Company / HUF (where required) and sign the undertaking

19. The Investor/s shall not hold the AMC liable for the following:

• For any transaction using the Facility carried out in good faith by the AMC on instructions of the Investor/s.

· For unauthorized usage/ unauthorized transactions conducted by using the facility.

• For any loss or damage incurred or suffered by the Investor/s due to any error, defect, failure or interruption in the provision of the Facility arising from or caused by any reason whatsoever.

20. Investor will not hold Mirae Asset Mutual Fund, its registrars and other service providers responsible if the transaction is delayed or not effected or the investor bank account is debited in advance or after the specific date due to various clearing cycles of NACH Debit/Local/Bank holiday. Mirae Asset Mutual Fund, its registrars and other service providers shall not be held responsible or liable for damages / compensation / loss incurred by the investor as a result of using this facility. The investor assumes the entire risk of using this facility.

21. Mirae Asset Mutual Fund reserves the right to reject any application without assigning any reason thereof. Mirae Asset Mutual Fund in consultation with Trustees reserves the right to withdraw these offerings, modify the procedure, frequency, dates, load structure in accordance with the SEBI Regulations and any such change will be applicable only to units transacted pursuant to such change on a prospective basis.

22. The Investor/s shall check his/ her account records carefully and promptly. If the Investor/s believes that there has been a mistake in any transaction using the Facility, or that an unauthorized transaction has been affected, the Investor/s shall notify the AMC immediately. If the Investor/s defaults in intimating the discrepancies in the statement within a period of 15 days of receipt of the statements, he waives all his rights to raise the same in favor of the AMC, unless the discrepancy/ error is apparent on the face of it. By opting for the facility, the Investor/s hereby irrevocably authorizes and instructs the AMC to act as his/ her agent and to do all such acts as AMC may find necessary to provide the Facility.

23. It is clarified that the Facility is only with a view to accommodate / facilitate the Investor/s and offered at the sole discretion of the AMC. The AMC is not bound and/or obliged in any way to give access to the Facility to Investor/s.

24. Investor/s can choose to cancel the Multi-SIP by filling cancellation form 10 days in advance of the next SIP date.

25. As per NPCI latest circular maximum end date will be upto 40 years. Hence it is mandatory to provide the End date on the form.

Instructions to fill Mandate:

- 1. UMRN To be left blank.
- 2. Date in DD/MM/YYYY format
- 3. Sponsor Bank code to be left blank for office use only.
- 4. Utility Code: Unique code of the entity to whom mandate is being given To be provided by the entity.
- 5. Name of the entity to whom the mandate is being given.
- 6. Account type SB/CA/CC/SB-NRE/SB-NRO/OTHER
- 7. Tick Select your appropriate Action
- a. Create For New Mandate
- b. Modify For Changes / Amendment on existing Mandate
- c. Cancel For cancelling the existing registered Mandate
- 8. Your Bank Account Number for debiting the amount.
- 9. Name of your bank and branch.
- 10. Your Bank branch IFSC code OR
- 11. Your Bank branch MICR code

- 12. Amount in words.
- 13. Amount in figures.
- 14. Frequency at which the debit should happen.
- 15. Whether the amount is fixed or variable.
- 16.Reference 1: Any details requested by the entity to whom the mandate is being given
- 17.Reference 2: Any details requested by the entity to whom the mandate is being given.
- 18. Your phone number.
- 19. Your email-id.
- 20. Period for which the debit mandate is valid
- a. Start date
- b. End date
- 21. Signatures of the account holder as per holding pattern in bank records.
- 22. Name of the account holder.